

**Cosmic Dawn:  
The Search for the First Galaxies**

**Richard Ellis (Caltech)**

<http://www.astro.caltech.edu/~rse/iran2.pdf>

**April 2011**

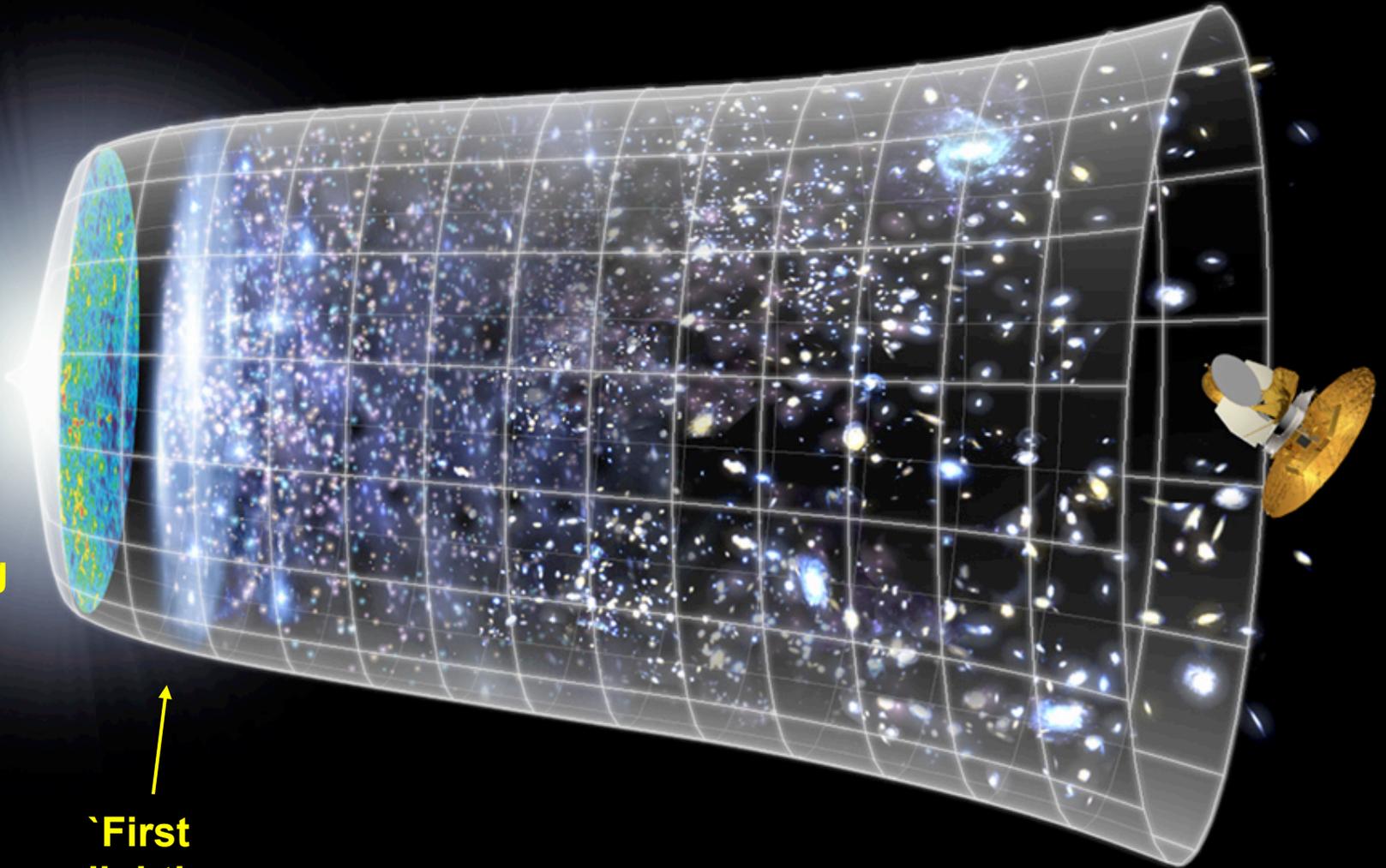
**Time or redshift**

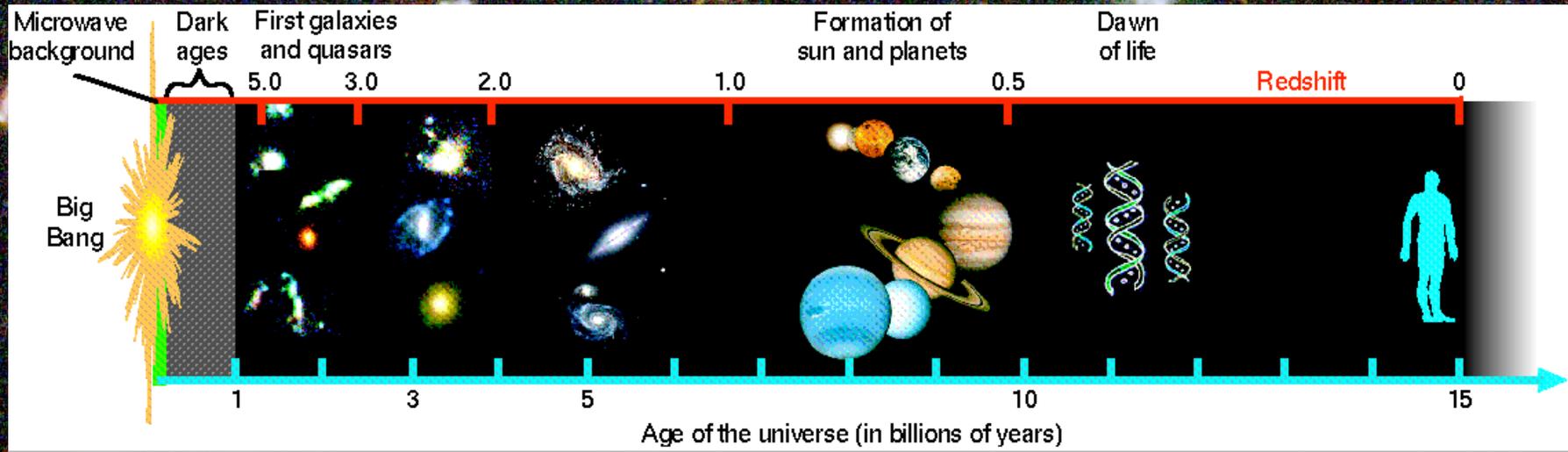


**Big Bang**

**'First light'**

**today**

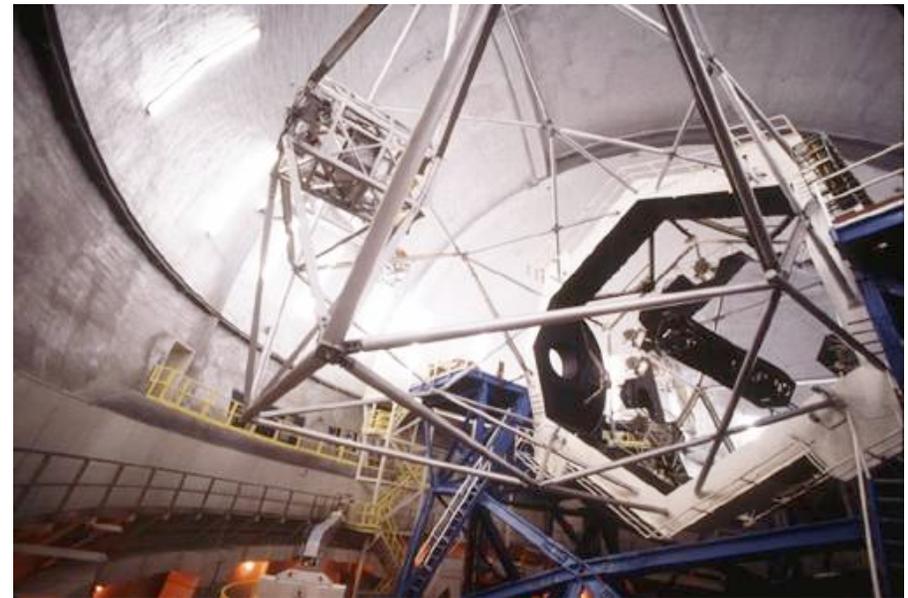




Witness the past! looking deep means looking back in time...

# An Observational Adventure Starring..

*- the two Keck 10-meter reflectors & their spectrographs*



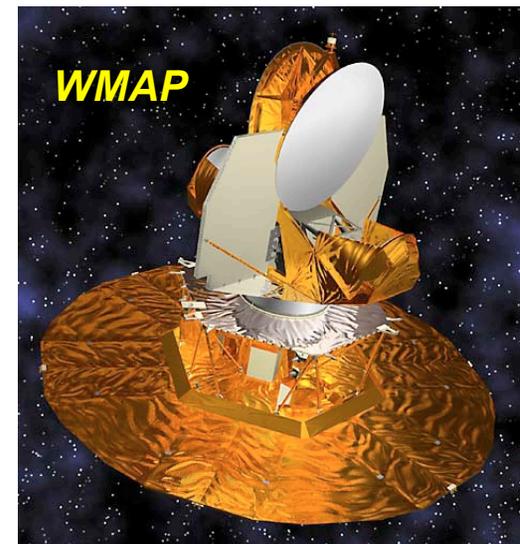
## *... and three unique space telescopes*



Hubble: exquisite deep imaging

Spitzer: sensitive to older stars

WMAP: studies of microwave background radiation and its scattering by foreground material

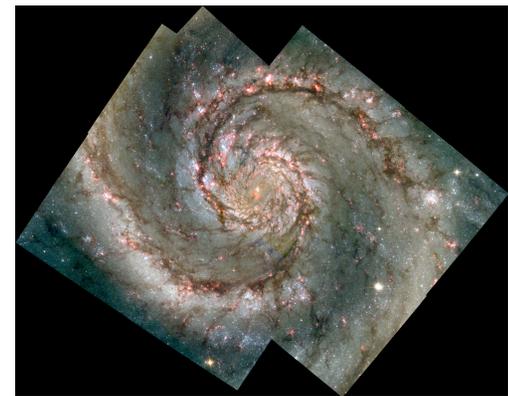
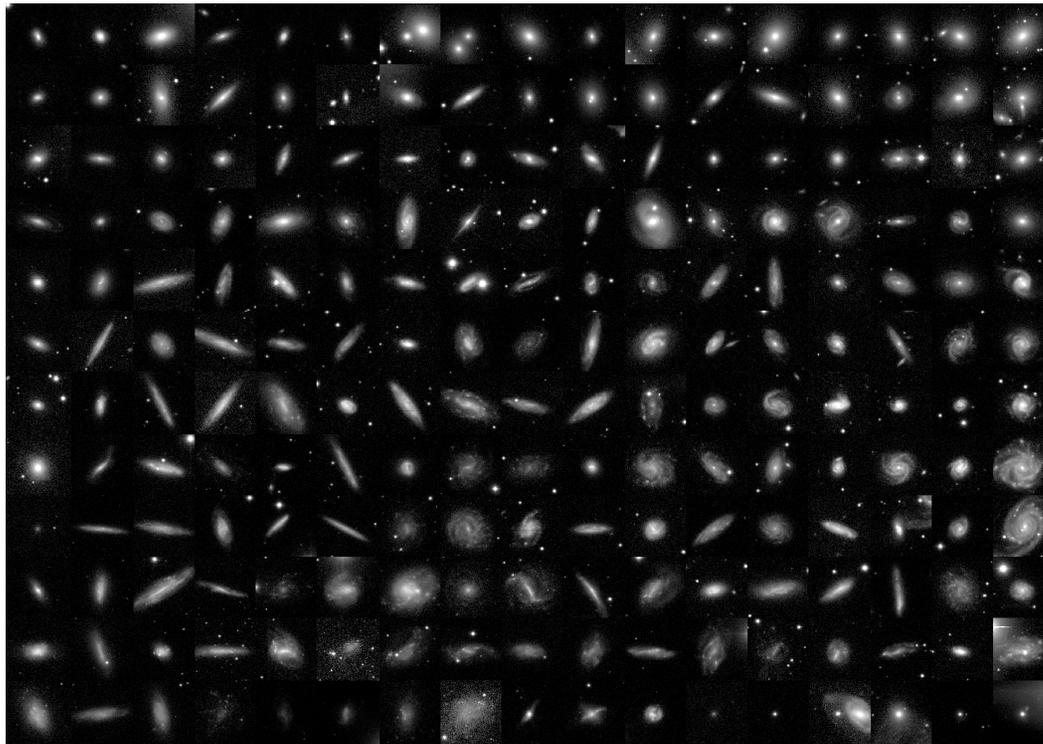


# Tracing the History of Starlight

Our quest is to find and understand the earliest cosmic systems containing the first stars which formed barely 100-500 million years after the Big Bang - when the Universe was only 3% of its present age. Some of these stars long since died but many are still shining.

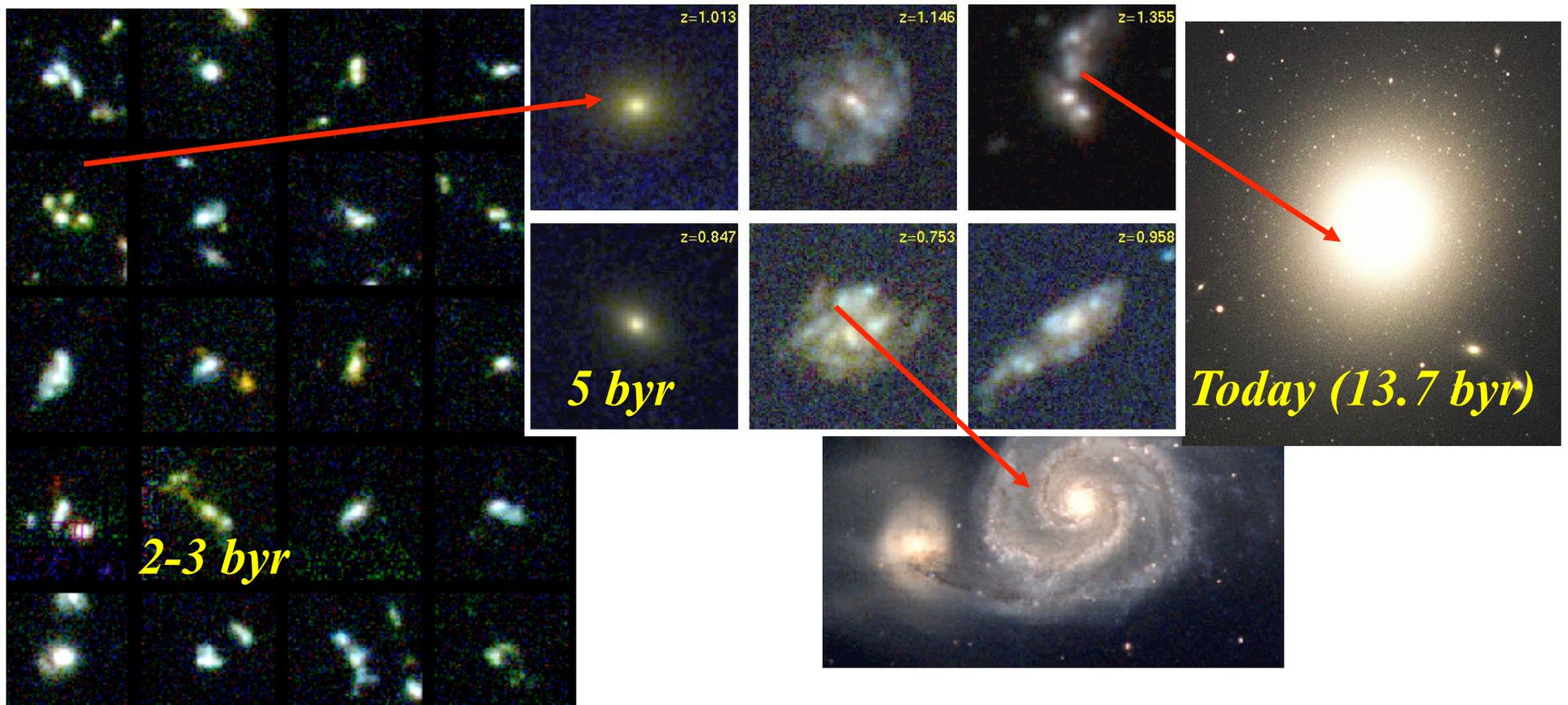
Galaxies represent the giant systems where these stars now reside.

To trace the history of starlight we must trace the history of galaxies



# Unraveling Cosmic History

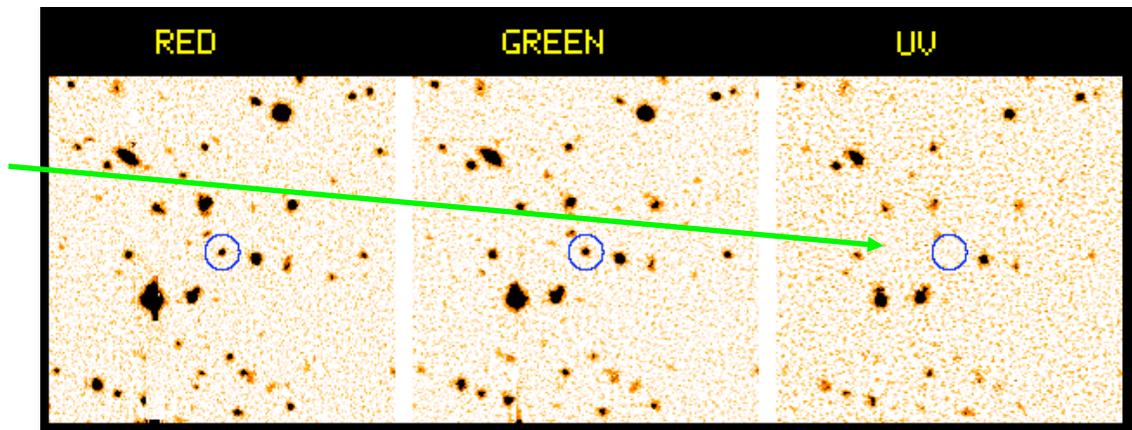
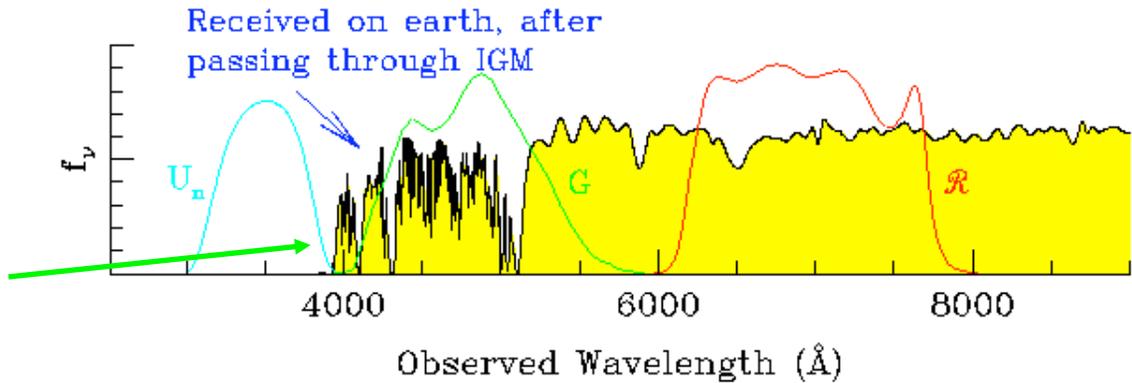
Keck and Palomar, aided by remarkable Hubble Space Telescope images have enabled us to explore the history of the rich variety of present-day galaxies. We have pieced together the story of galaxy formation and evolution back to 2 billion years after the Big Bang (85% of cosmic history)



# Finding Distant Galaxies using 'Dropouts'

How to find the most distant galaxies seen at early times?

- At large redshift, the signal from a remote galaxy declines due to hydrogen absorption at a particular frequency which enters the range of optical telescopes
- Search for tell-tale 'drop' in signal in ultraviolet signal:
- Palomar does the searching
- Keck verifies the distance via a spectrum

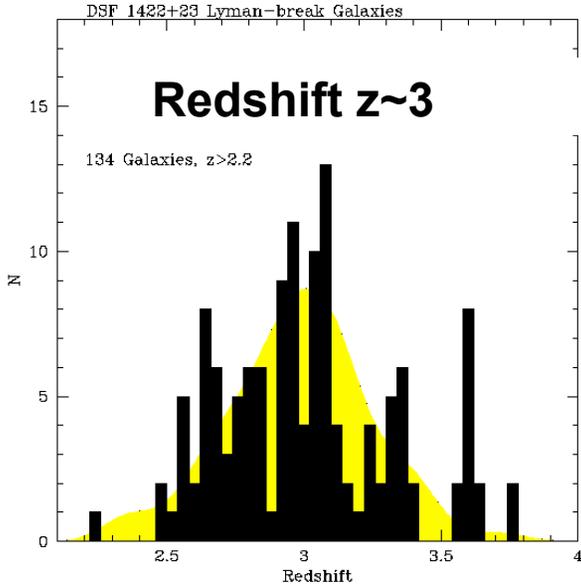
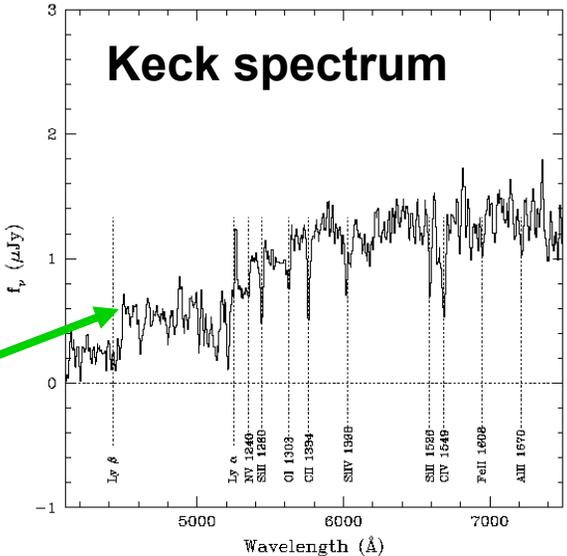
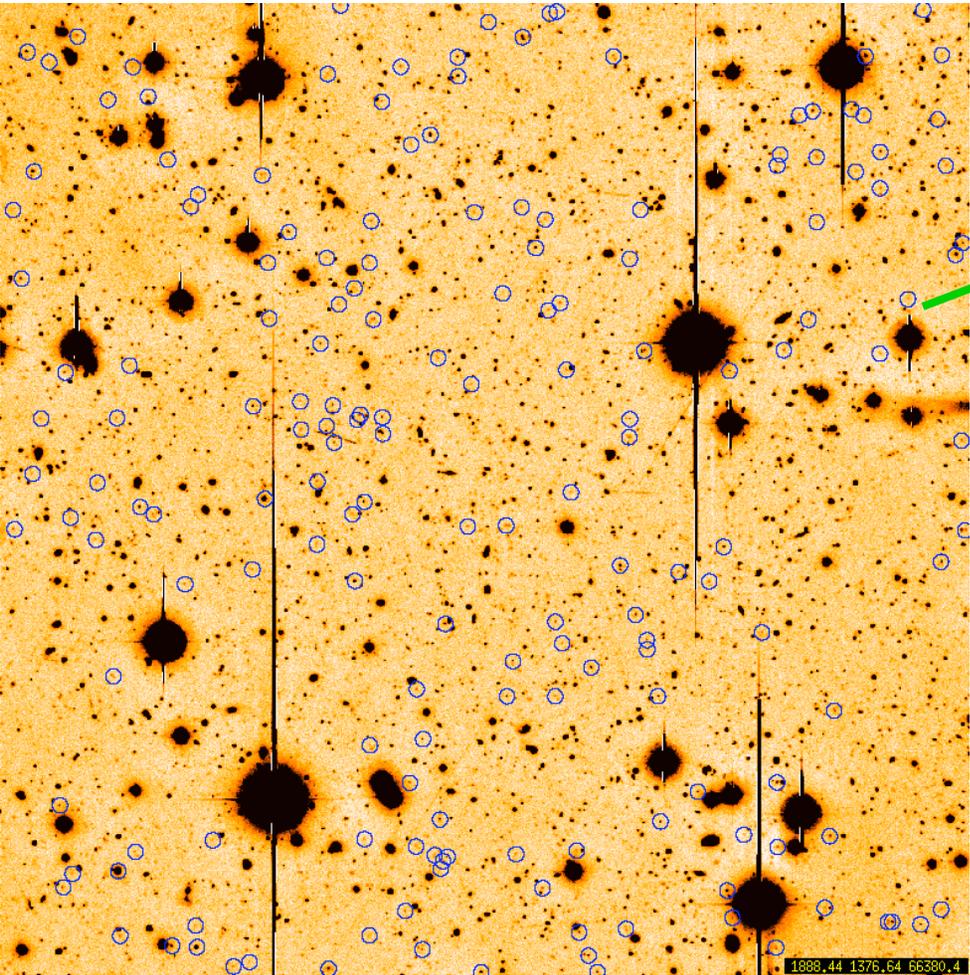


**Pioneering work done at Caltech by Chuck Steidel and co-workers**

# Spectroscopic Confirmation at Keck

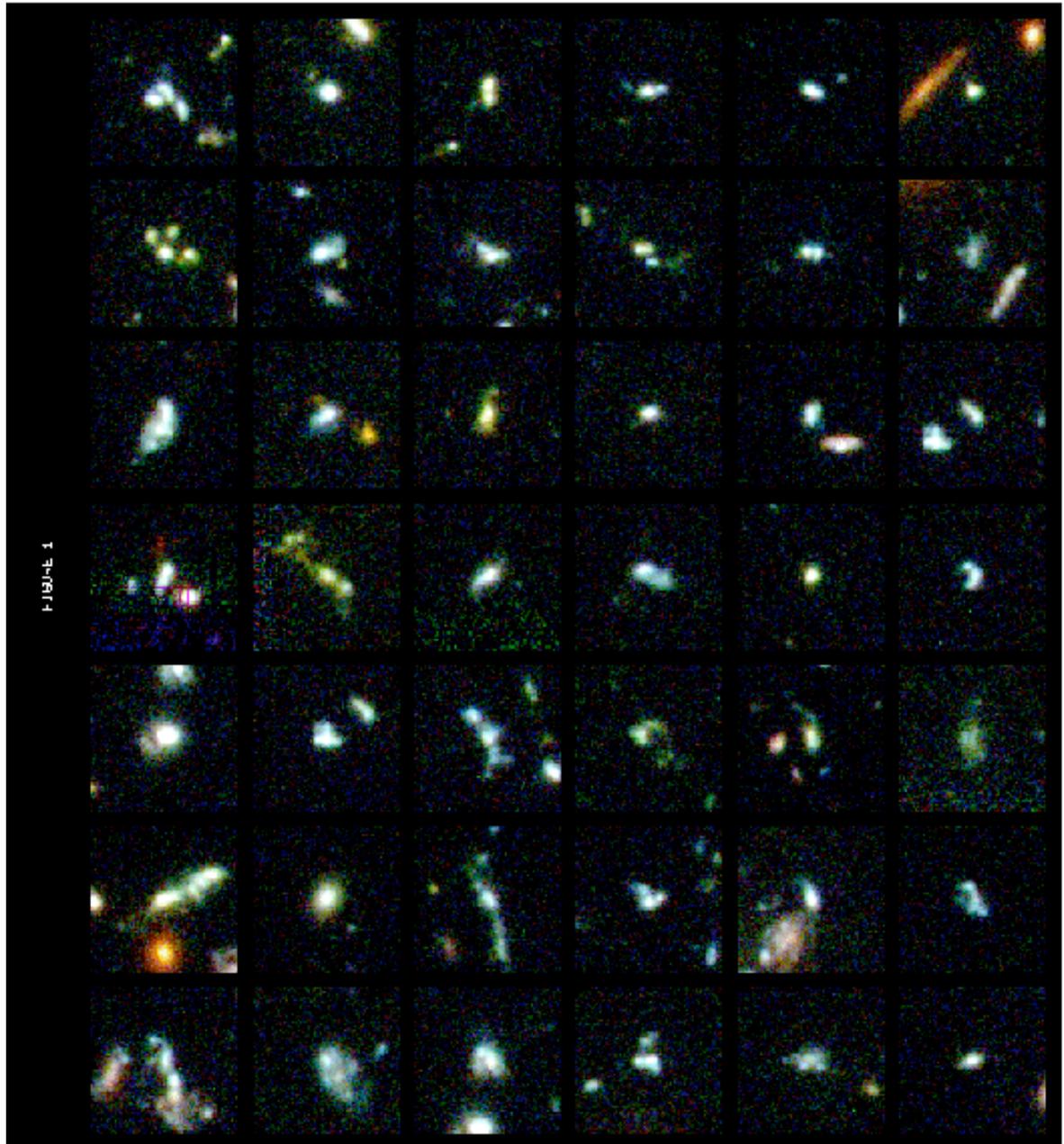


Deep Palomar image

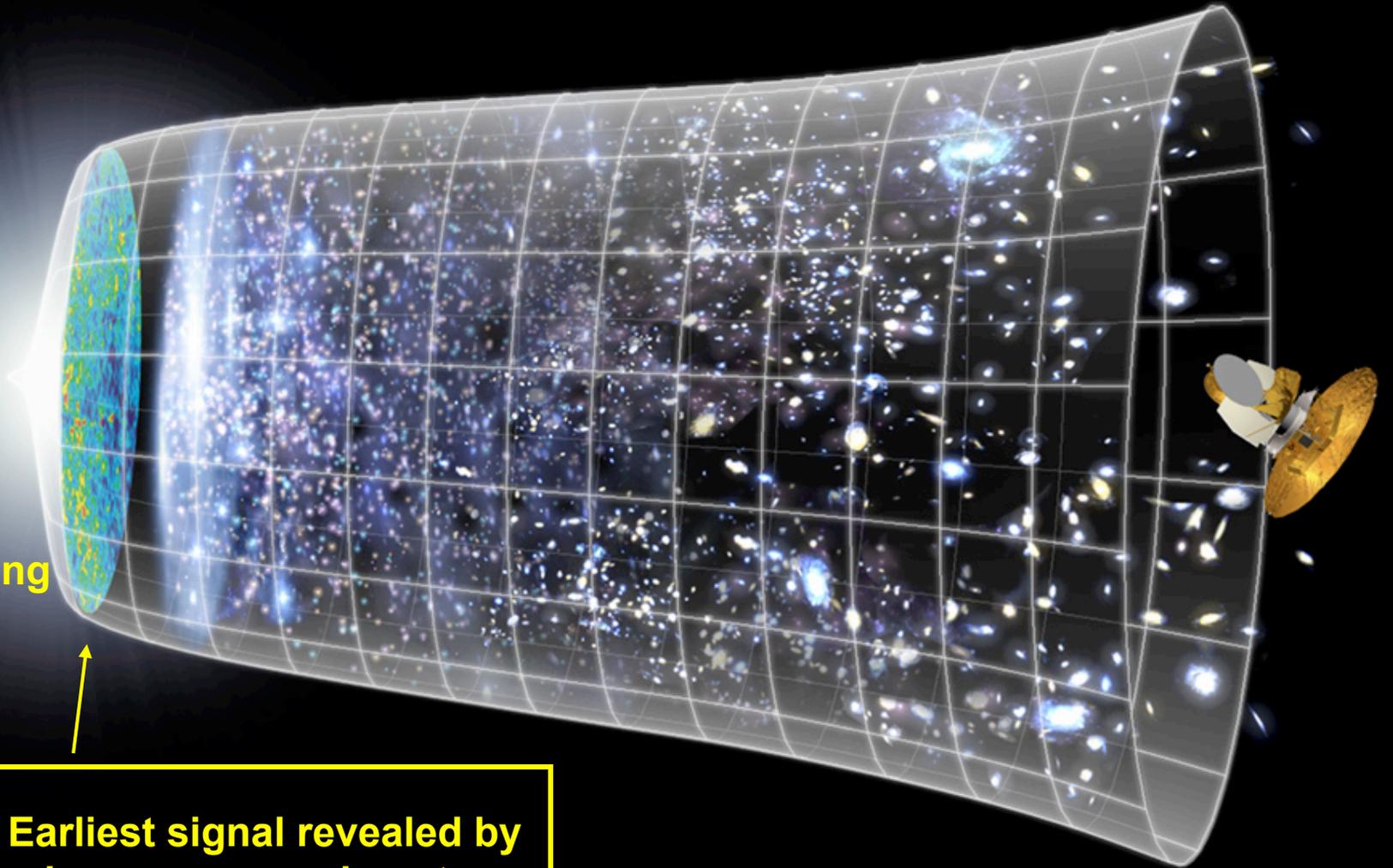


# What do these early galaxies look like?

Hubble images of these spectroscopically-confirmed galaxies with redshifts  $z \sim 3$  reveal small physical scale-lengths and irregular morphologies: many appear to be merging or assembling from smaller units - immature systems



**Time or redshift**



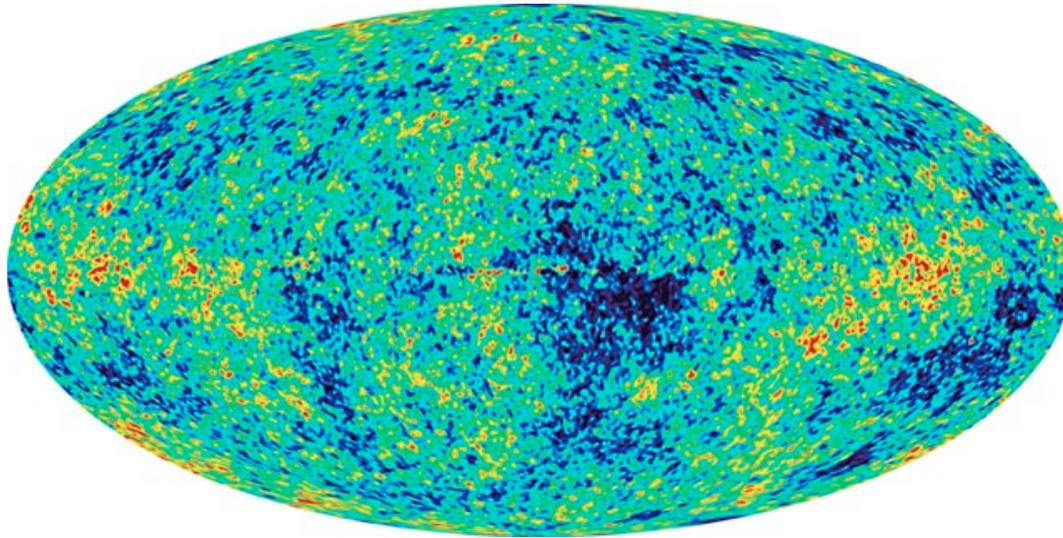
**Big Bang**



**Earliest signal revealed by  
microwave experiments:  
cosmic microwave  
background**

**today**

## What happened next?



Microwave background radiation is seen 372,000 yrs after creation representing the time when hydrogen atoms form for the first time

Universe then enters a period called the '**dark ages**': cold hydrogen clouds clump and eventually collapse to form stars

Stars eventually energize hydrogen in deep space breaking it into electrons and protons (process called '**reionization**')

# What is the Reionization Era?

## A Schematic Outline of the Cosmic History

Time since the  
Big Bang (years)

~ 300 thousand

~ 500 million

~ 1 billion

~ 9 billion

~ 13 billion



←The Big Bang

The Universe filled  
with ionized gas

←The Universe becomes  
neutral and opaque

The Dark Ages start

Galaxies and Quasars  
begin to form  
The Reionization starts

The Cosmic Renaissance  
The Dark Ages end

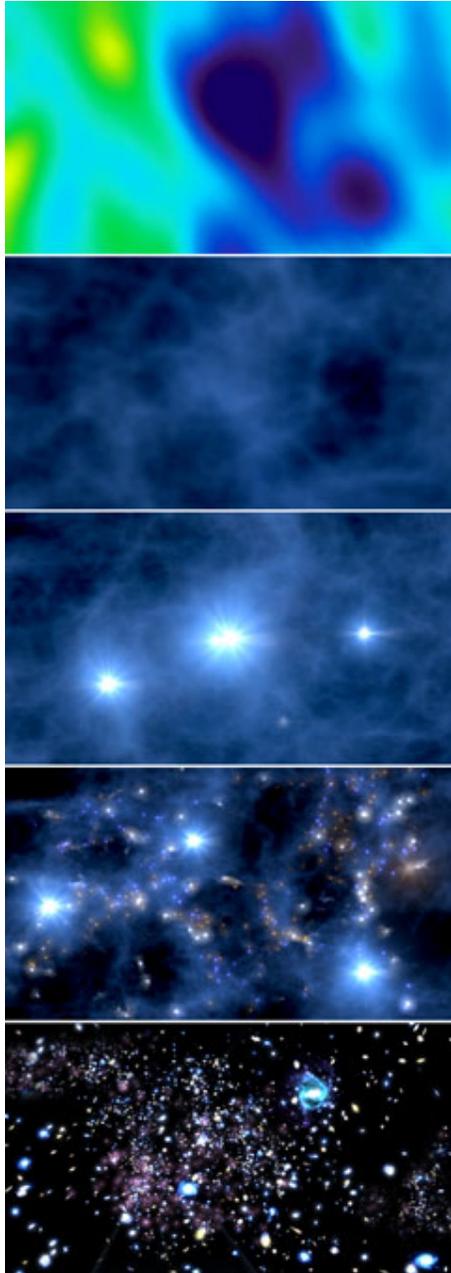
←Reionization complete,  
the Universe becomes  
transparent again

Galaxies evolve

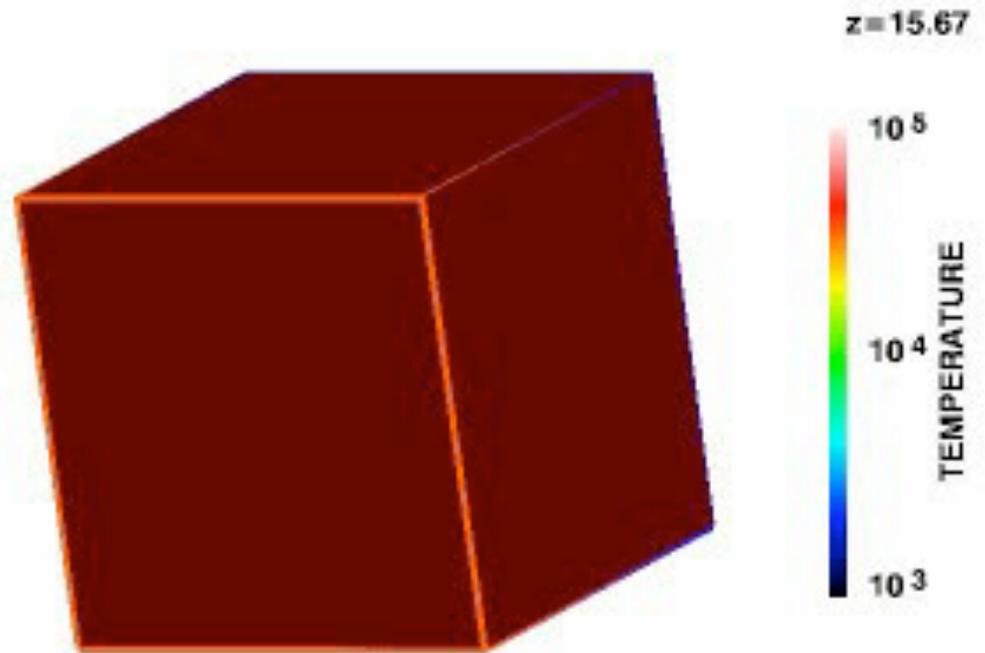
The Solar System forms

Today: Astronomers  
figure it all out!

# End of the Dark Ages: Reionization of Hydrogen by First Star-forming Galaxies



time



# Theorists' View of Cosmic Dawn

## LIGHTING UP THE COSMOS

In the beginning of the Dark Ages, electrically neutral hydrogen gas filled the universe. As stars formed, they ionized the regions immediately around them, creating bubbles here and there. Eventually these bubbles merged together, and intergalactic gas became entirely ionized.

Avi Loeb, Scientific American 2006

Time:  
Width of frame:  
Observed wavelength:

210 million years  
2.4 million light-years  
4.1 meters

290 million years  
3.0 million light-years  
3.3 meters

370 million years  
3.6 million light-years  
2.8 meters

460 million years  
4.1 million light-years  
2.4 meters

540 million years  
4.6 million light-years  
2.1 meters

620 million years  
5.0 million light-years  
2.0 meters

710 million years  
5.5 million light-years  
1.8 meters

All the gas is neutral. The white areas are the densest and will give rise to the first stars and quasars.

Faint red patches show that the stars and quasars have begun to ionize the gas around them.

These bubbles of ionized gas grow.

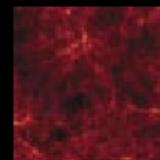
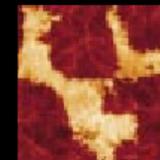
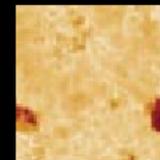
New stars and quasars form and create their own bubbles.

The bubbles are beginning to interconnect.

The bubbles have merged and nearly taken over all of space.

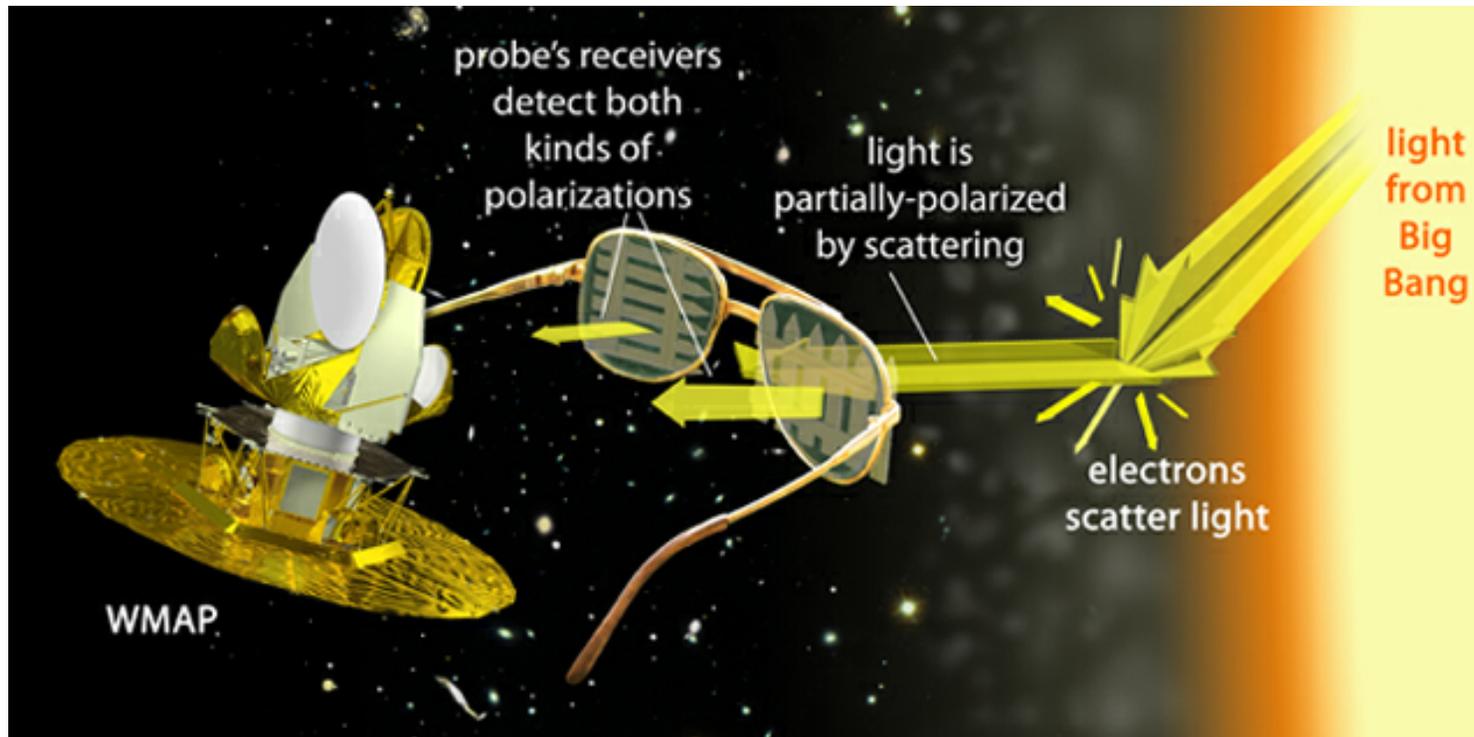
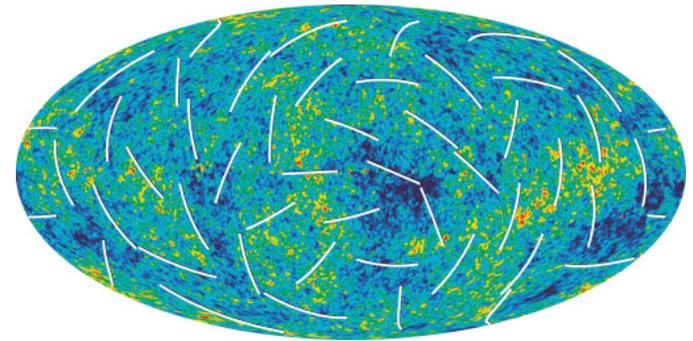
The only remaining neutral hydrogen is concentrated in galaxies.

Simulated images of 21-centimeter radiation show how hydrogen gas turns into a galaxy cluster. The amount of radiation (*white is highest; orange and red are intermediate; black is least*) reflects both the density of the gas and its degree of ionization: dense, electrically neutral gas appears white; dense, ionized gas appears black. The images have been rescaled to remove the effect of cosmic expansion and thus highlight the cluster-forming processes. Because of expansion, the 21-centimeter radiation is actually observed at a longer wavelength; the earlier the image, the longer the wavelength.



Wonderful..but did it really happen like this..?

# Polarization of Microwave Background



Polarization in microwave background probes electron scattering in the foreground i.e. electrons from the time of reionization

WMAP signal suggests reionization occurred at  $6 < z < 15$  corresponding to 300 - 900 million years after Big Bang



# The Holy Grail: Finding the Earliest Galaxies

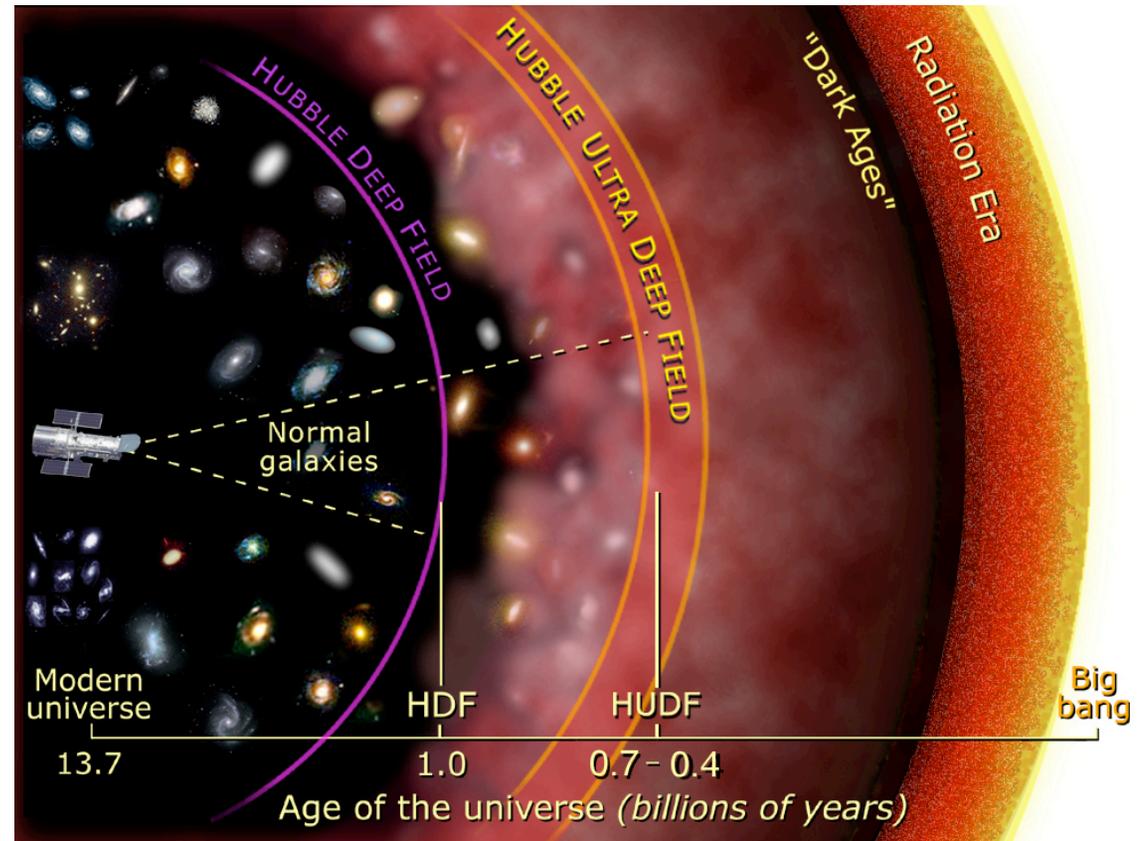
End of the dark ages

## Summary:

Indirect evidence from polarization of the microwave background suggests there was a sharp transition in deep space sometime between  $z=6$  and 15, corresponding to 300-900 million years after the Big Bang

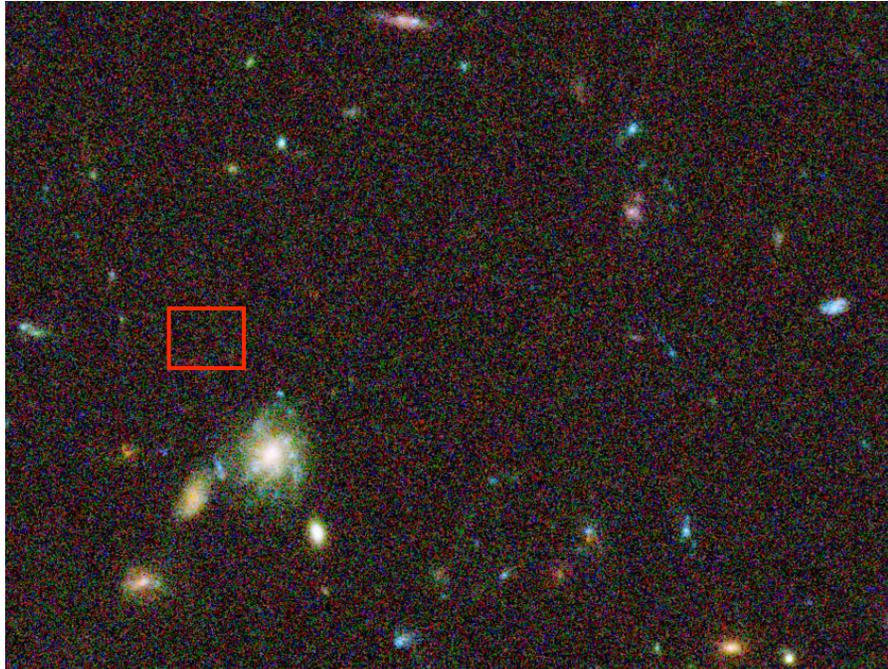
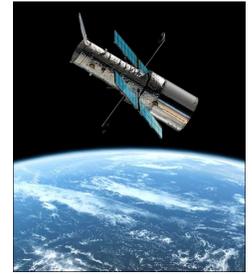
Most likely this was the blaze of light from the first luminous systems:

Can we detect them?

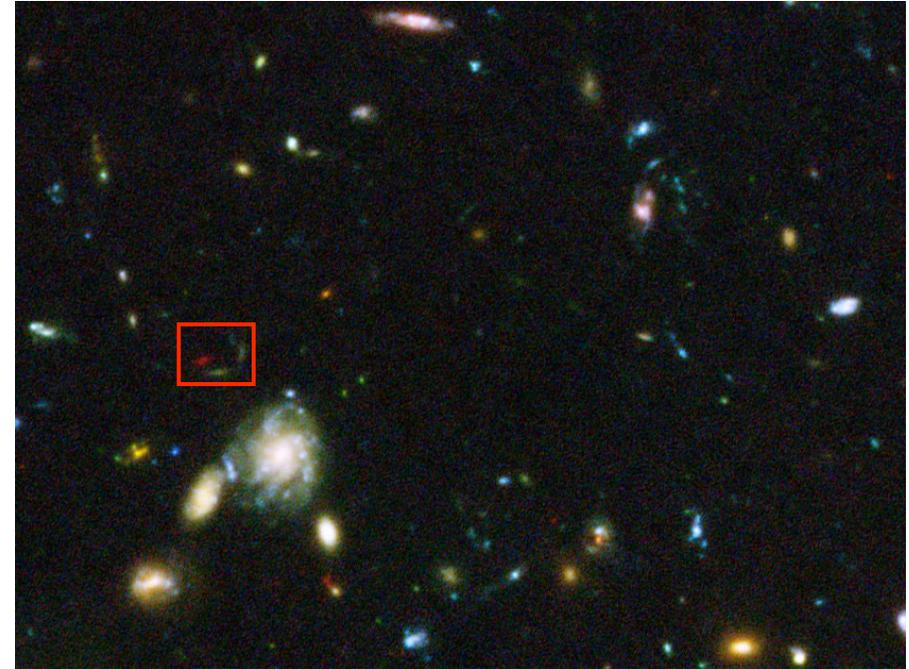


# The Hubble Ultra Deep Field

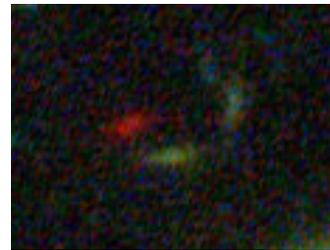
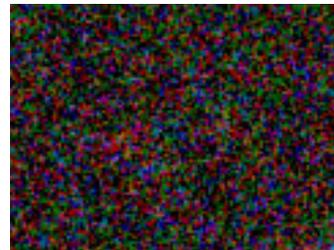
The HUDF remains the deepest optical image



GOODS field – 13 orbits

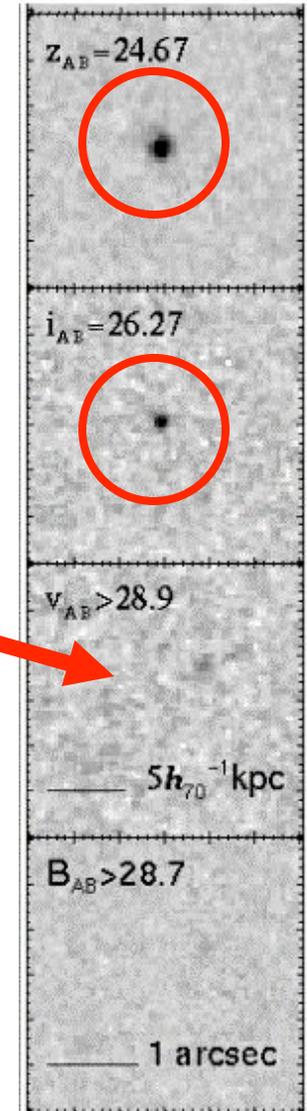
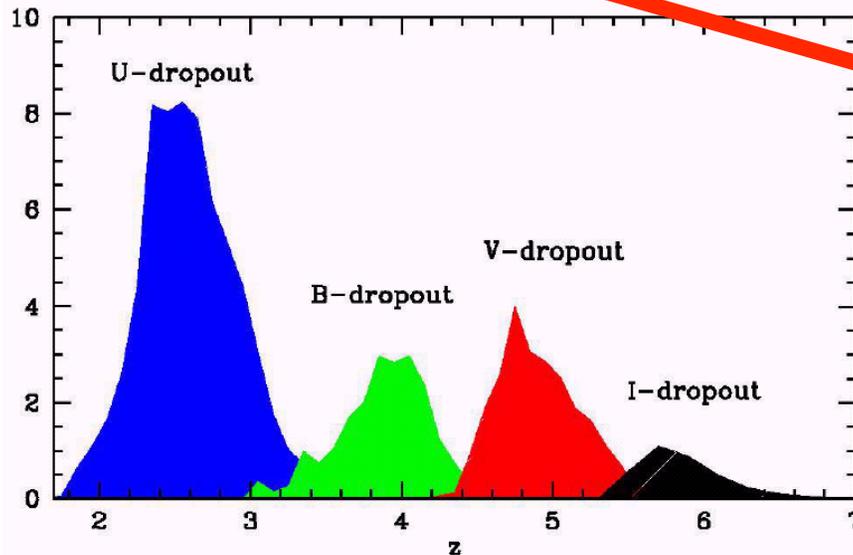
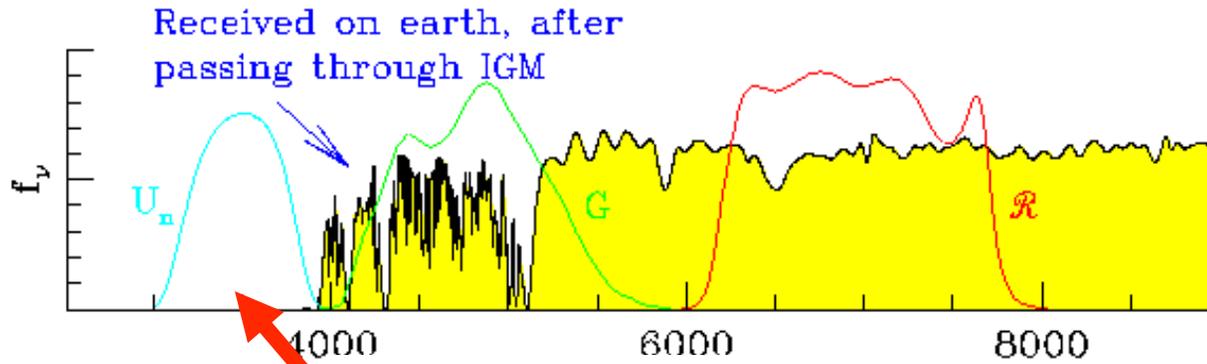


HUDF – 400 orbits



# Very distant sources in deep Hubble imaging

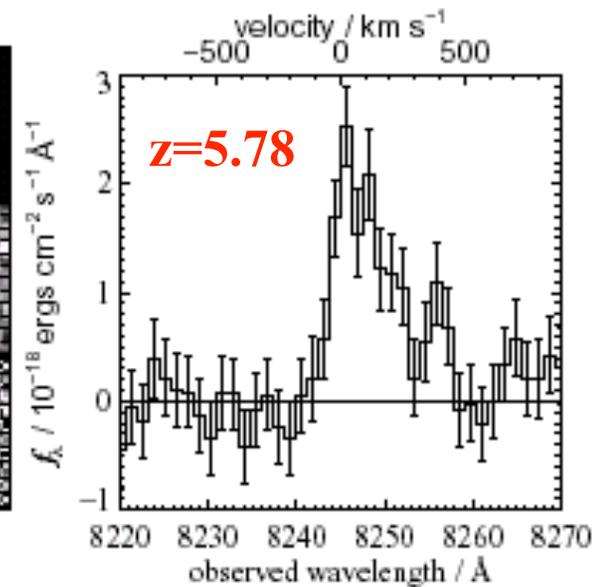
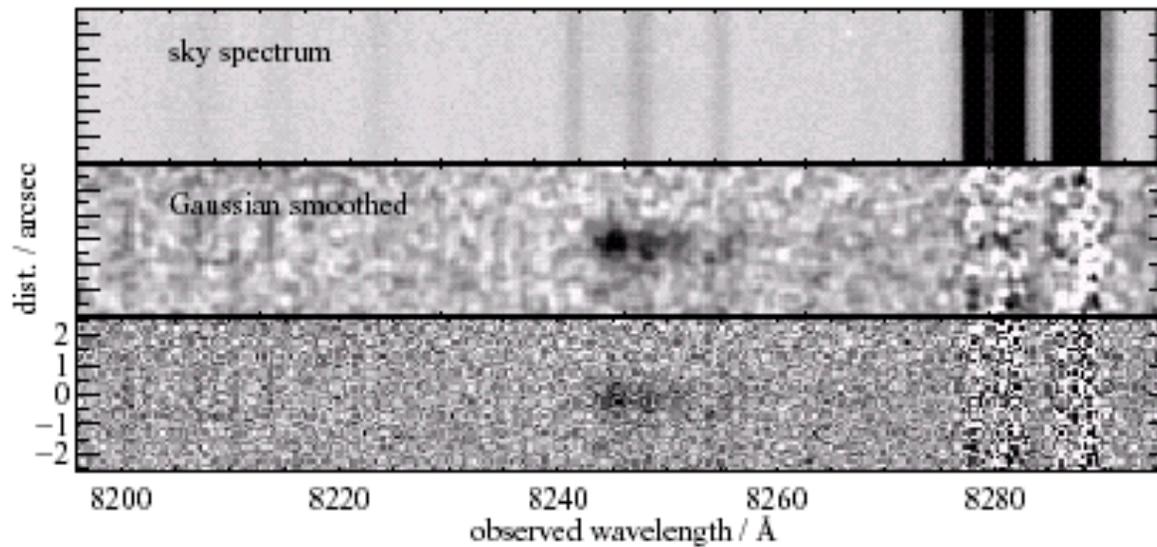
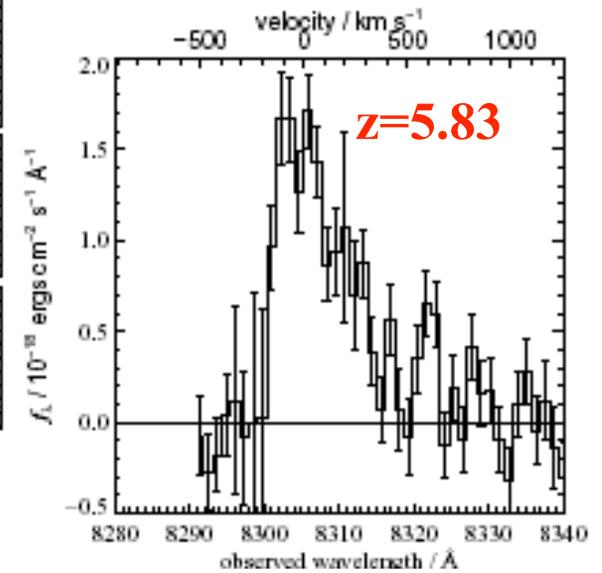
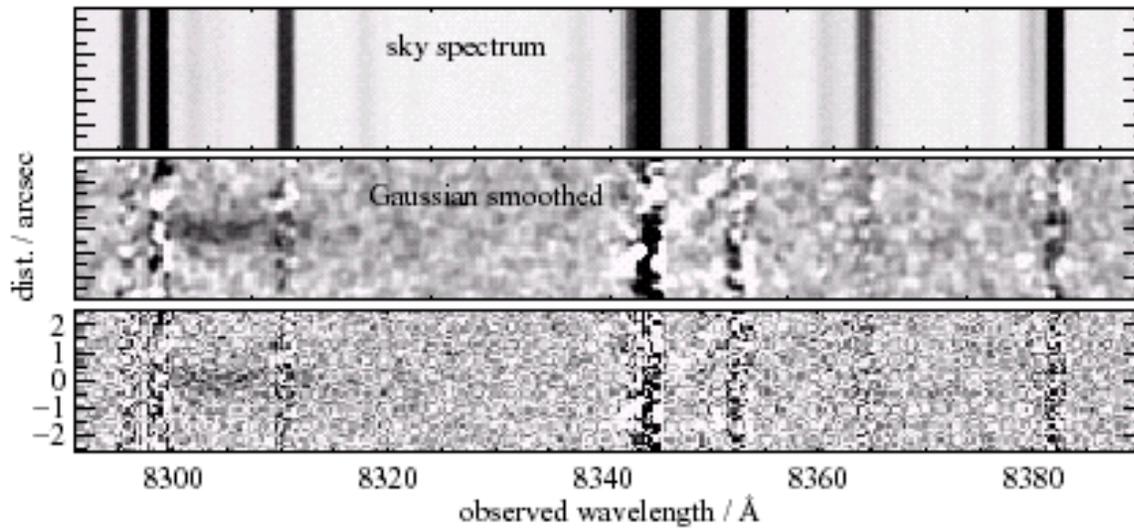
Hubble data



Wavelength

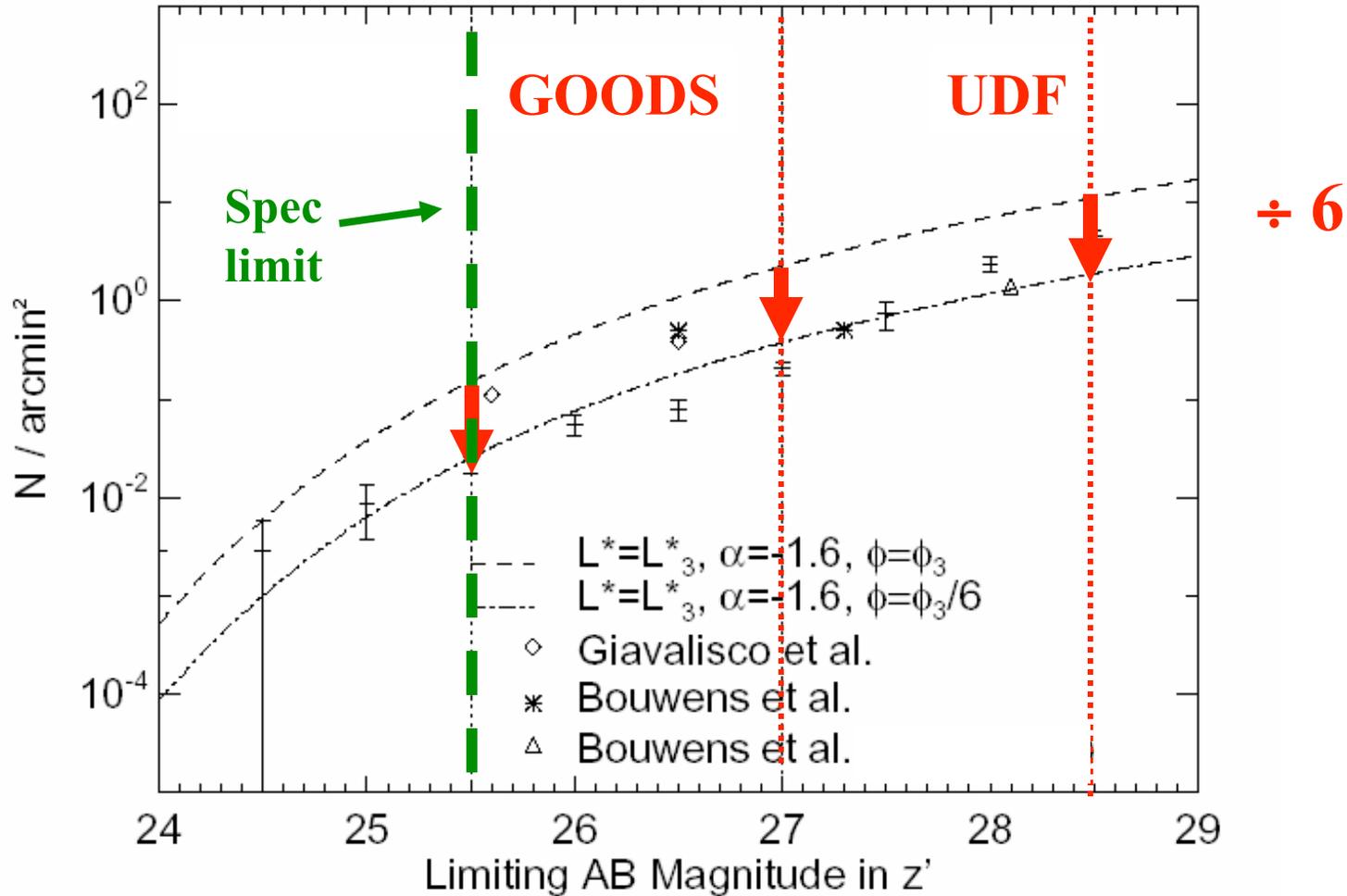
We can extend the 'dropout' technique used successfully at  $z=3$  to find examples of star-forming galaxies beyond  $z=5$  by looking for dropouts in redder bands

# Keck spectroscopy of distant dropouts



Bunker, RSE et al (2004)

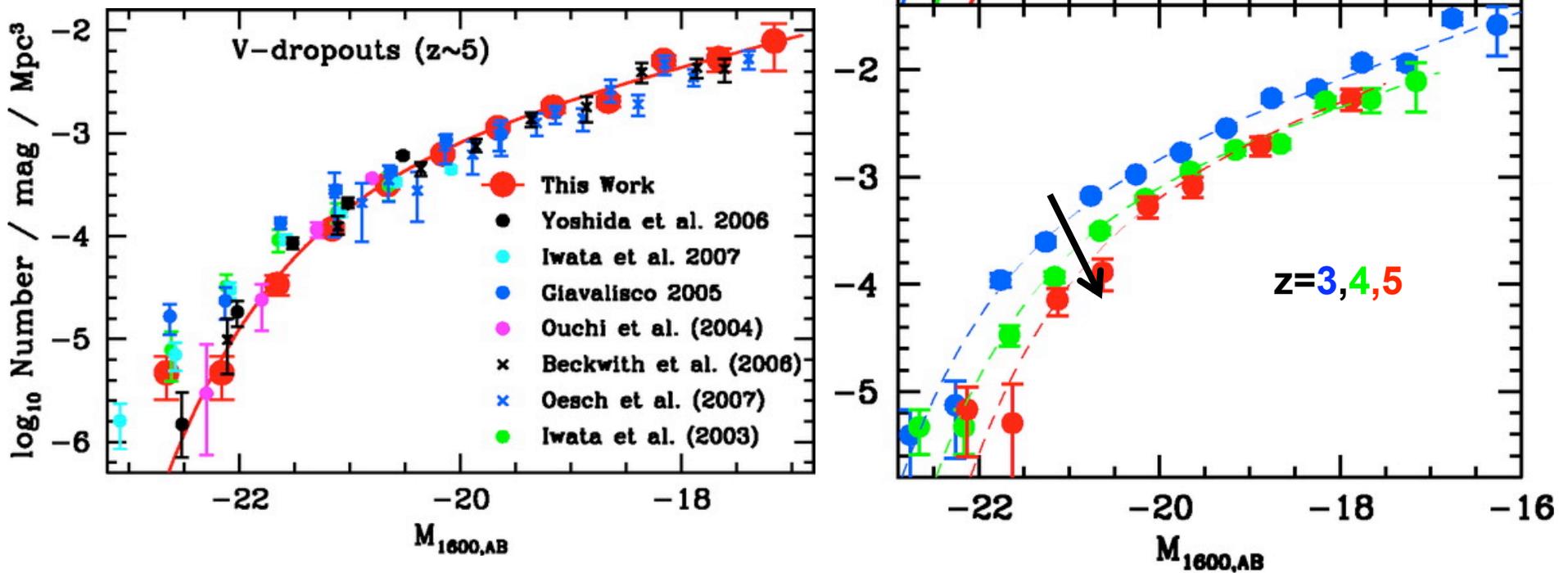
# Upshot: luminosity distribution at $z \sim 6$



**GOODS/UDF data to  $z_{\text{AB}}' = 28.5$  consistent with  $z=3$  LF but  $\div 6$**

**Bunker, Stanway, Ellis & McMahon MNRAS 355, 374 (2004)**

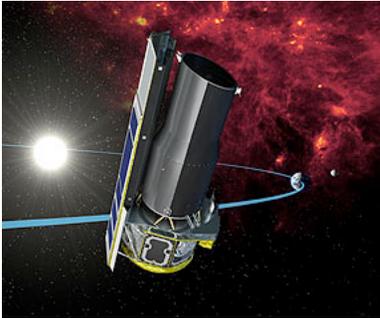
## And With Larger Samples from Hubble...



- Many groups analyzing the public data (& fortunately agree!)
- Confirmation of decline in abundance with redshift

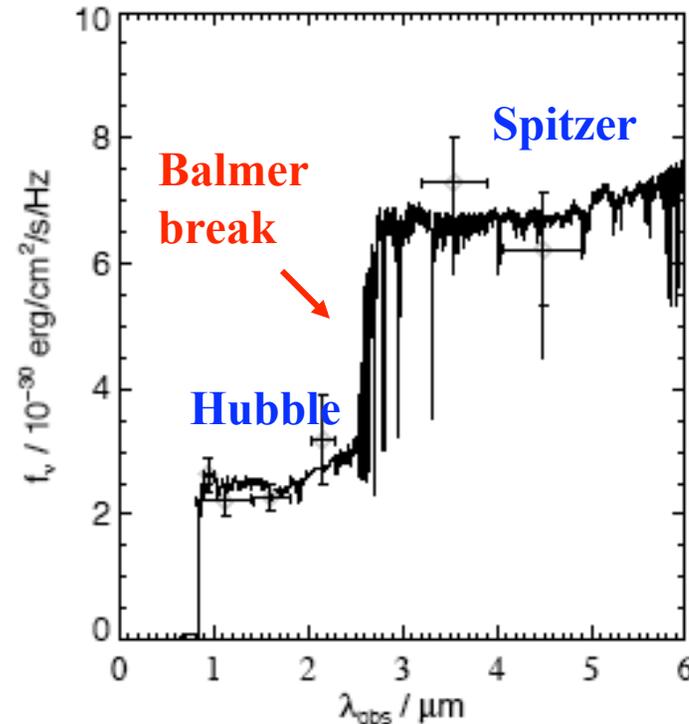
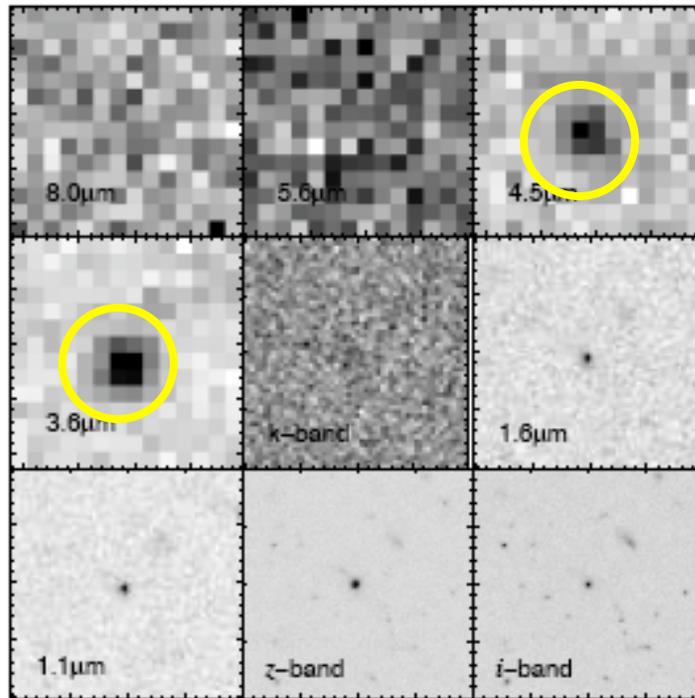
**Bouwens, Illingworth, Franx & Ford (2007) Ap J 670, 928**

# Spitzer Space Telescope: Stellar Masses & Ages



A modest 85cm cooled telescope can see the most distant known objects and provide crucial data on their assembled mass in stars and their ages

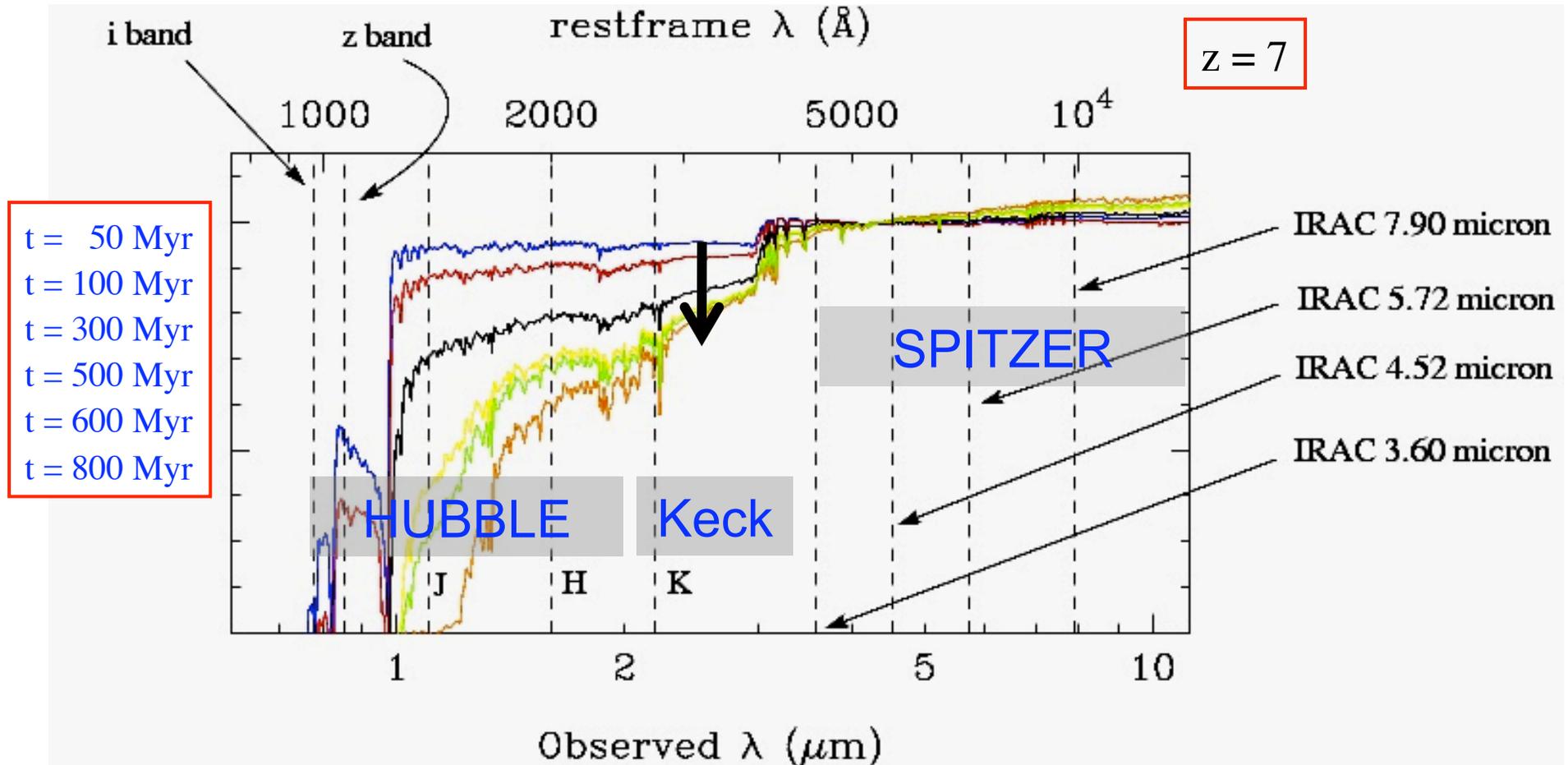
$z_{\text{spec}}=5.83$  age > 100 Myr; mass  $\sim 3 \cdot 10^{10} M_{\odot}$



Eyles, RSE et al (2005): Old Stars at  $z \sim 6$  !

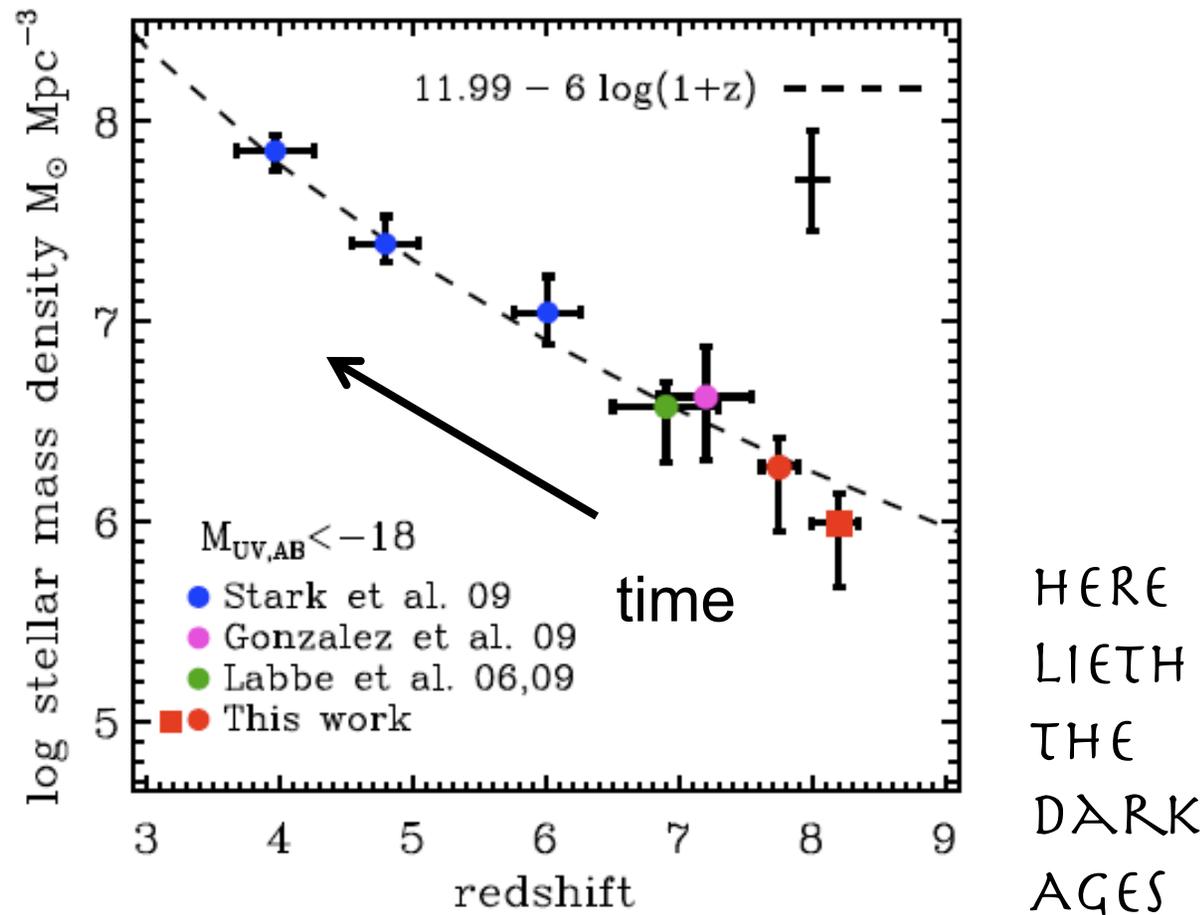
This means star formation occurred even earlier – so we should see star forming systems at even greater distances in the past

# Spitzer and Hubble Combine as 'Age Indicator'



Hubble measures **on-going star formation rate**  
Spitzer measures **assembled mass in older stars**  
Combination gives **the age**

# Density of Stellar Mass with Time



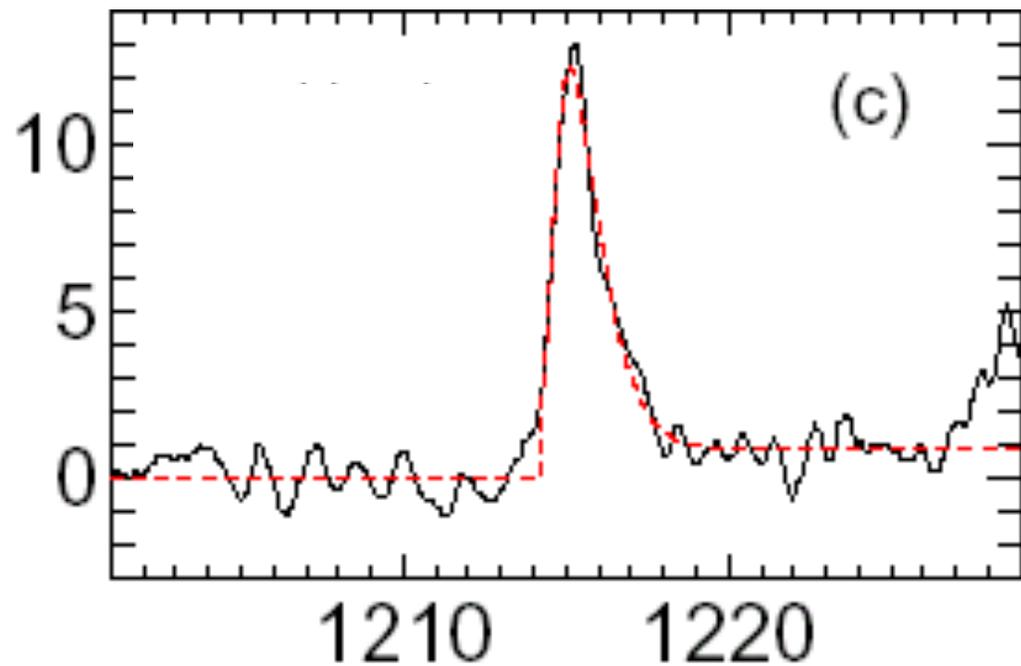
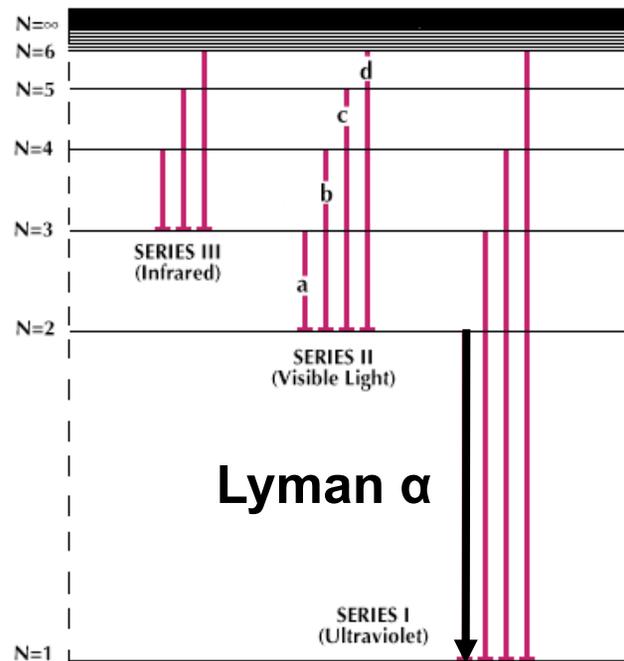
Spitzer allows us to do a census of how the mass in stars per unit volume grows with time – this encodes the past history of star formation

Stark, RSE et al 2007,2009; Labbé et al 2009ab

# Lyman $\alpha$ Surveys

Another effective way to find early star-forming galaxies utilizes the fact they will contain hot gas emitting the **Lyman alpha spectrum line of hydrogen** redshifted from the ultraviolet

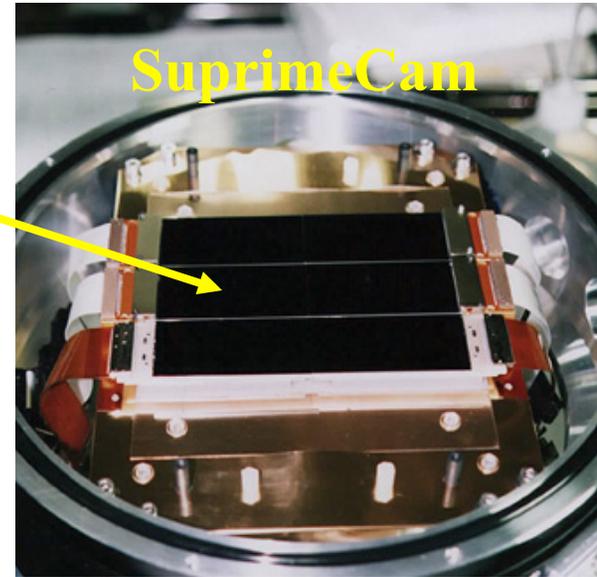
6-7% of a young galaxy light may emerge in this single line!



Energy Levels of Hydrogen

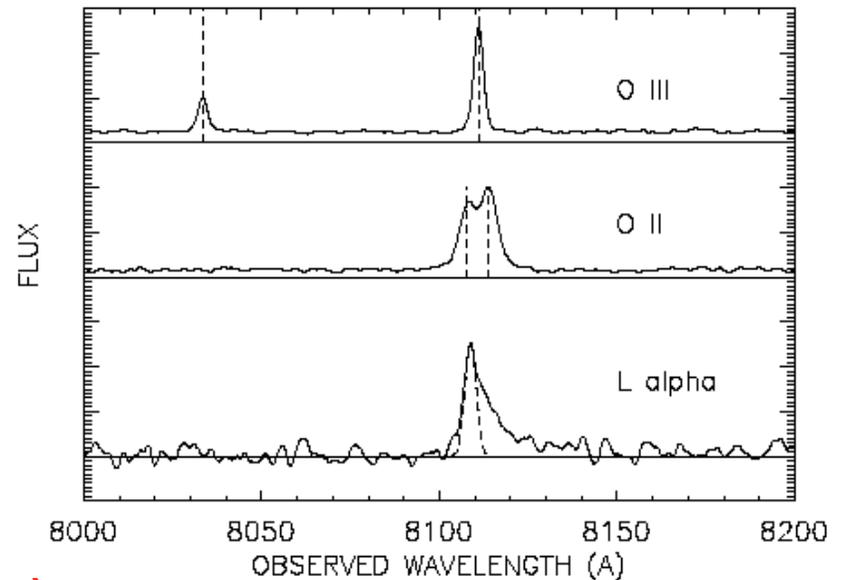
Wavelength ( $\text{\AA}$ )  $\rightarrow$

# Wide Field Imaging from Subaru



## Mauna Kea `Ohana`:

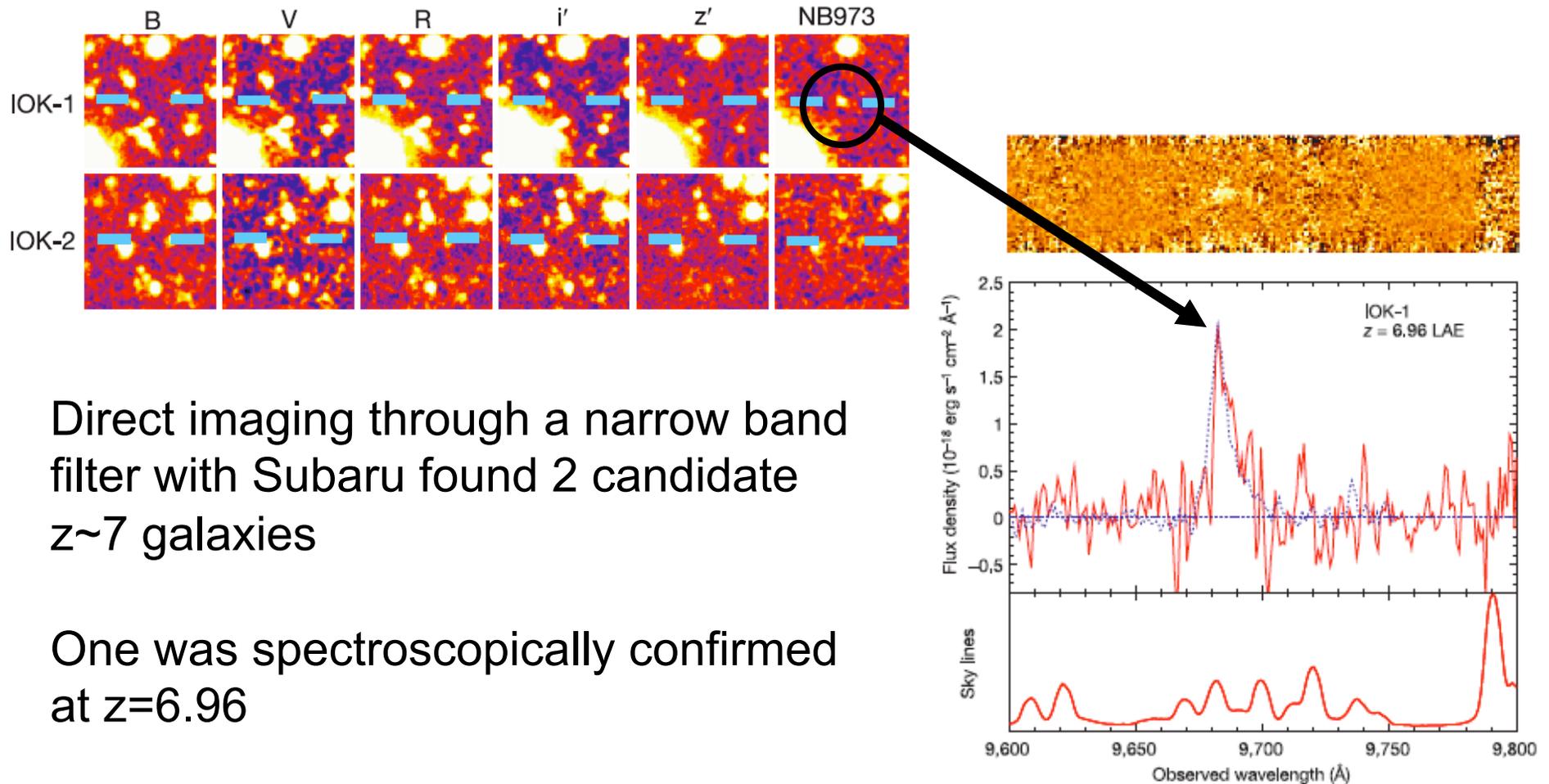
Panoramic imaging with Subaru  
with Keck spectroscopic  
verification to ensure narrow  
line is high redshift Lyman  $\alpha$



**E. Hu (U Hawaii), M. Ouchi (Carnegie)**

# A galaxy at a redshift $z = 6.96$

Masanori Iye<sup>1,2,3</sup>, Kazuaki Ota<sup>2</sup>, Nobunari Kashikawa<sup>1</sup>, Hisanori Furusawa<sup>4</sup>, Tetsuya Hashimoto<sup>2</sup>, Takashi Hattori<sup>4</sup>, Yuichi Matsuda<sup>5</sup>, Tomoki Morokuma<sup>6</sup>, Masami Ouchi<sup>7</sup> & Kazuhiro Shimasaku<sup>2</sup>

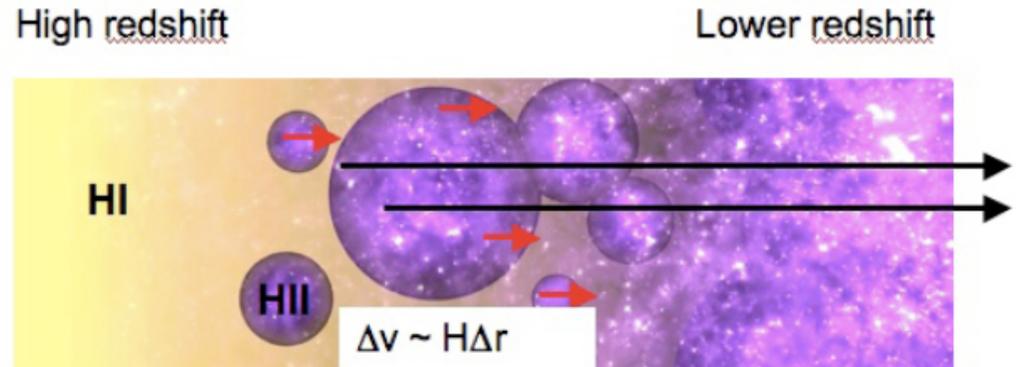
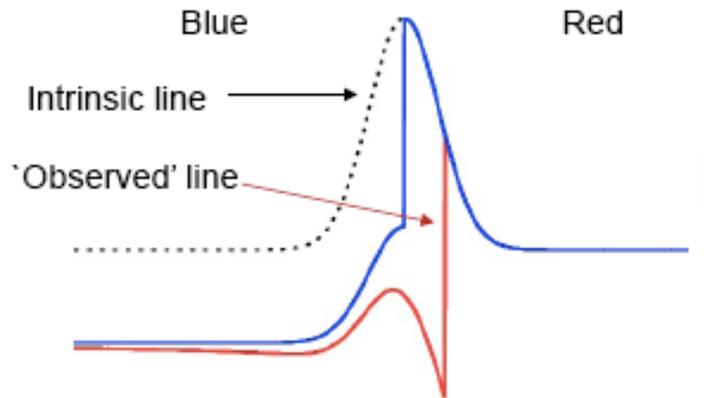


Direct imaging through a narrow band filter with Subaru found 2 candidate  $z \sim 7$  galaxies

One was spectroscopically confirmed at  $z=6.96$

***Nature 443, 186 (2006)***

# Lyman $\alpha$ as a probe of the Dark Ages

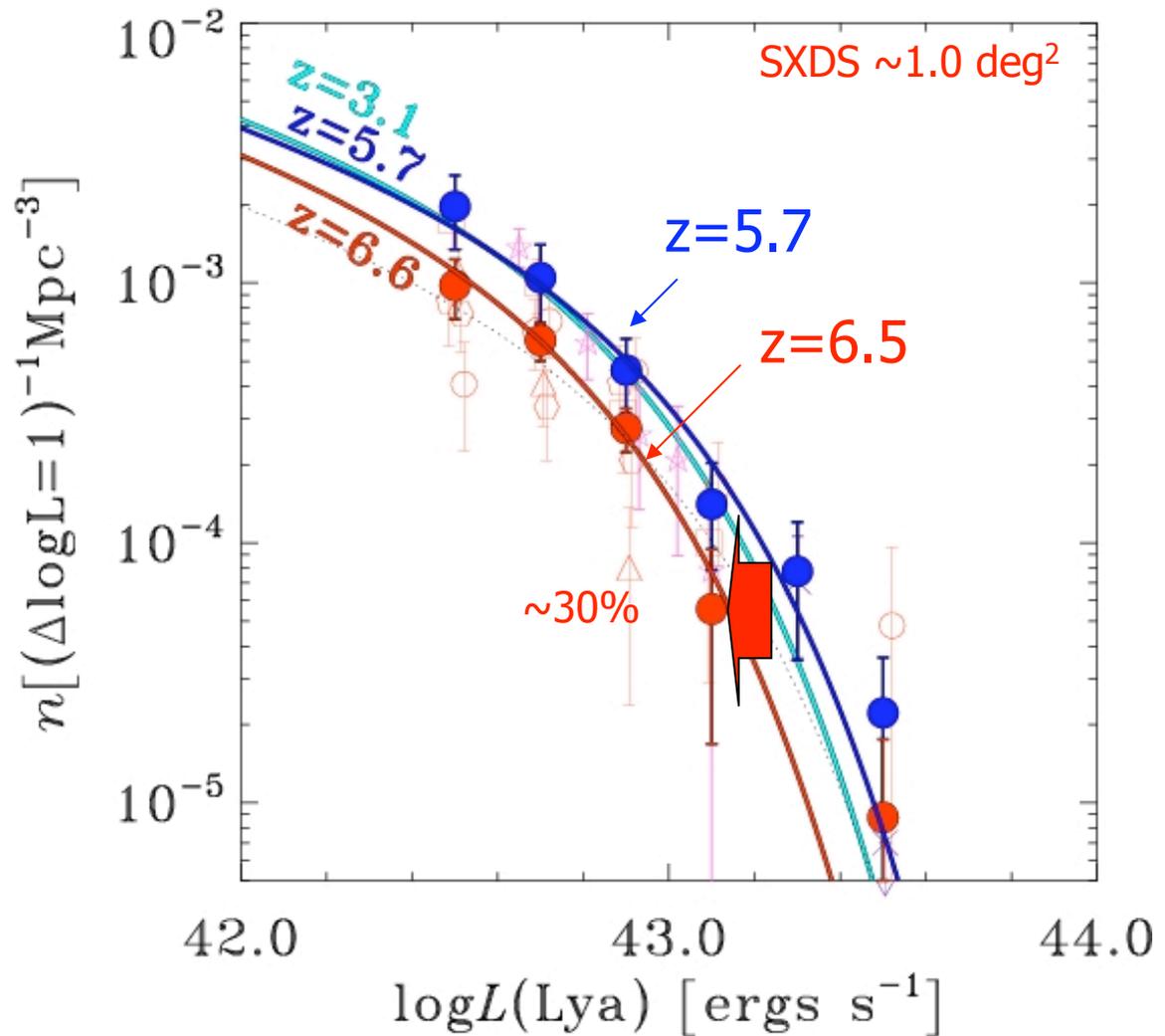


- Lyman  $\alpha$  line is weakened by neutral hydrogen and thus a valuable tracer of its presence
- Neutral hydrogen in 'Dark Ages' acts as fog obscuring the line emission from young galaxies
- A sudden drop in the visibility of line emitting galaxies may indicate we are entering the Dark Ages!



# A Rapid Drop in Lyman $\alpha$ Emitters $5.7 < z < 6.6$ ?

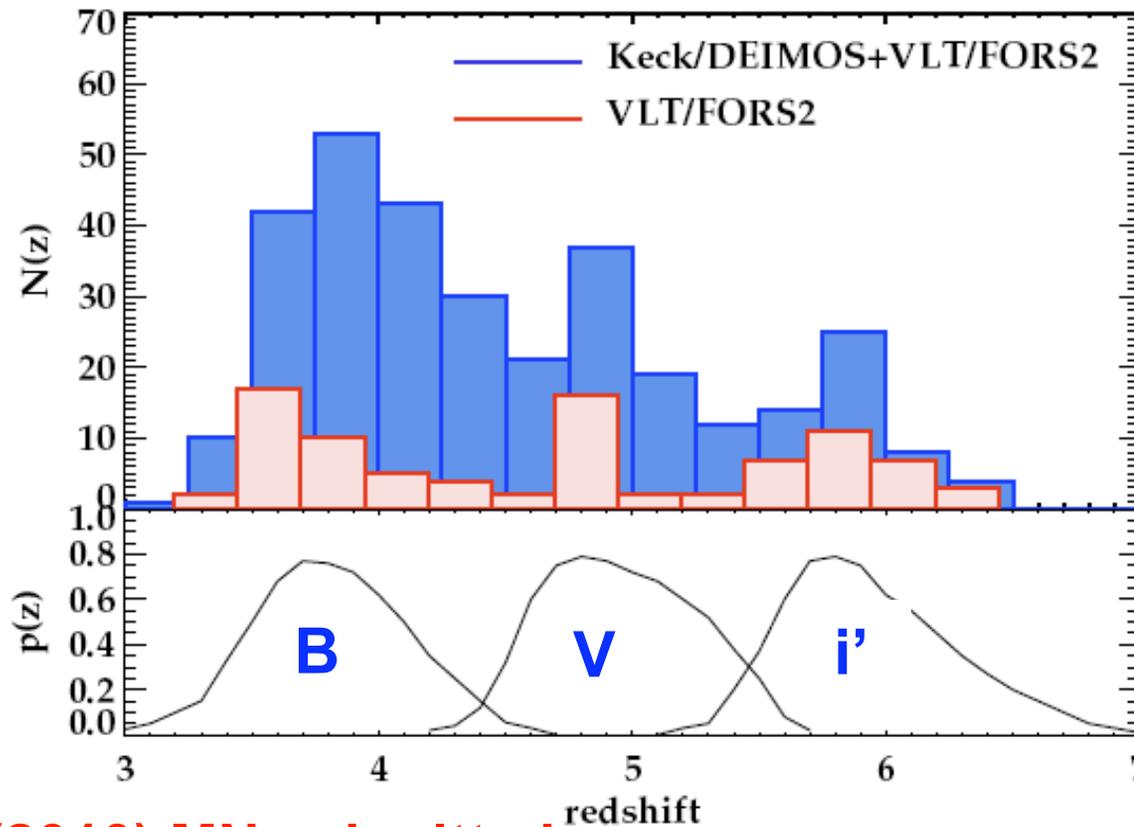
- Our Subaru colleagues have an interesting result!
- They see a tantalizing drop in the number of Lyman  $\alpha$  emitters over a small redshift interval  $5.7 < z < 6.6$  (150 Myr)
- Would seem surprising for the galaxy population to coordinate itself so well over such a short time interval
- Are we entering the Dark Ages at  $z \sim 6.5$ ?



Ouchi et al (2010)

# New Keck Spectroscopic Survey of $4 < z < 7$ Galaxies

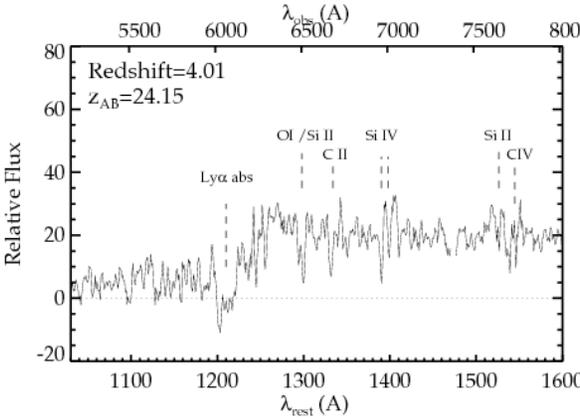
- Most ambitious redshift survey so far with Keck
- 8-16 hr exposures with DEIMOS to  $m_{AB}=26.5$  (emission lines to  $m_{AB}\sim 27.5$ )
- Keck/DEIMOS: 361 B + 141 V + 45 I + 17 z-drops = 564 spectra
- VLT/FORS2 retro-selected + same criteria: 195 spectra (Vanzella et al)



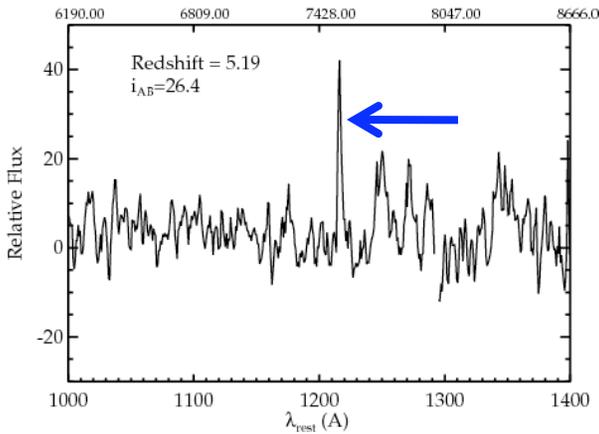
Stark et al (2010) MN submitted

# Some Keck Spectra

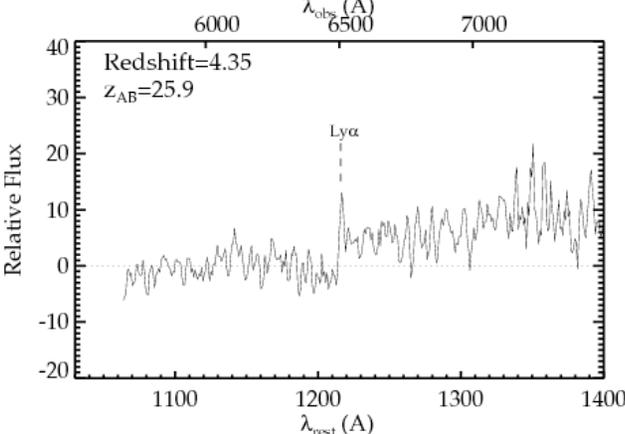
**m=24.15, z=4.01**



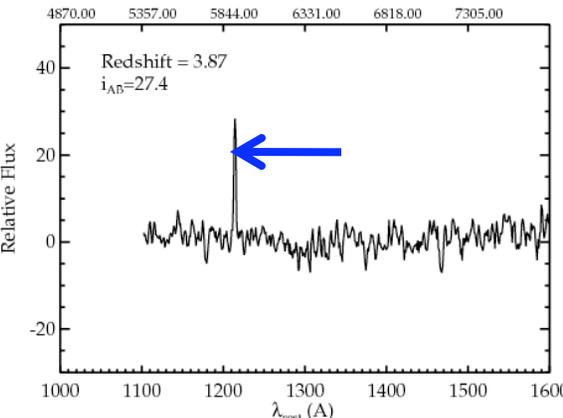
**m=26.4, z=5.19**



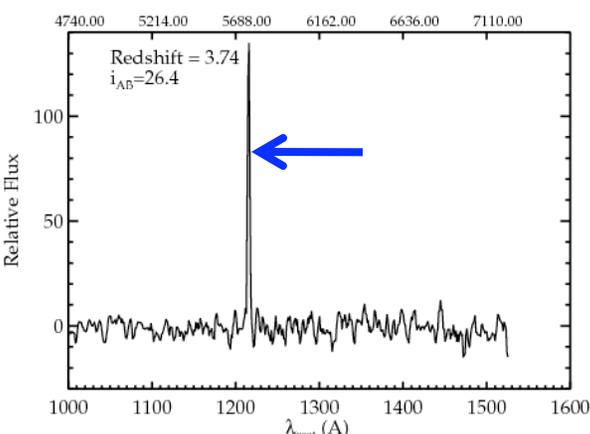
**m=25.9, z=4.35**



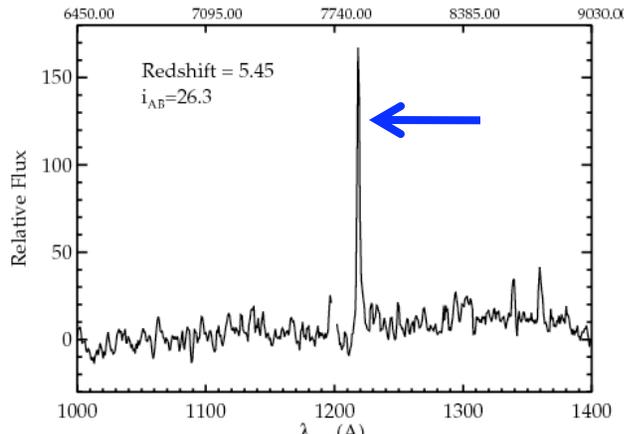
**m=27.4, z=3.87**



**m=26.4, z=3.74**

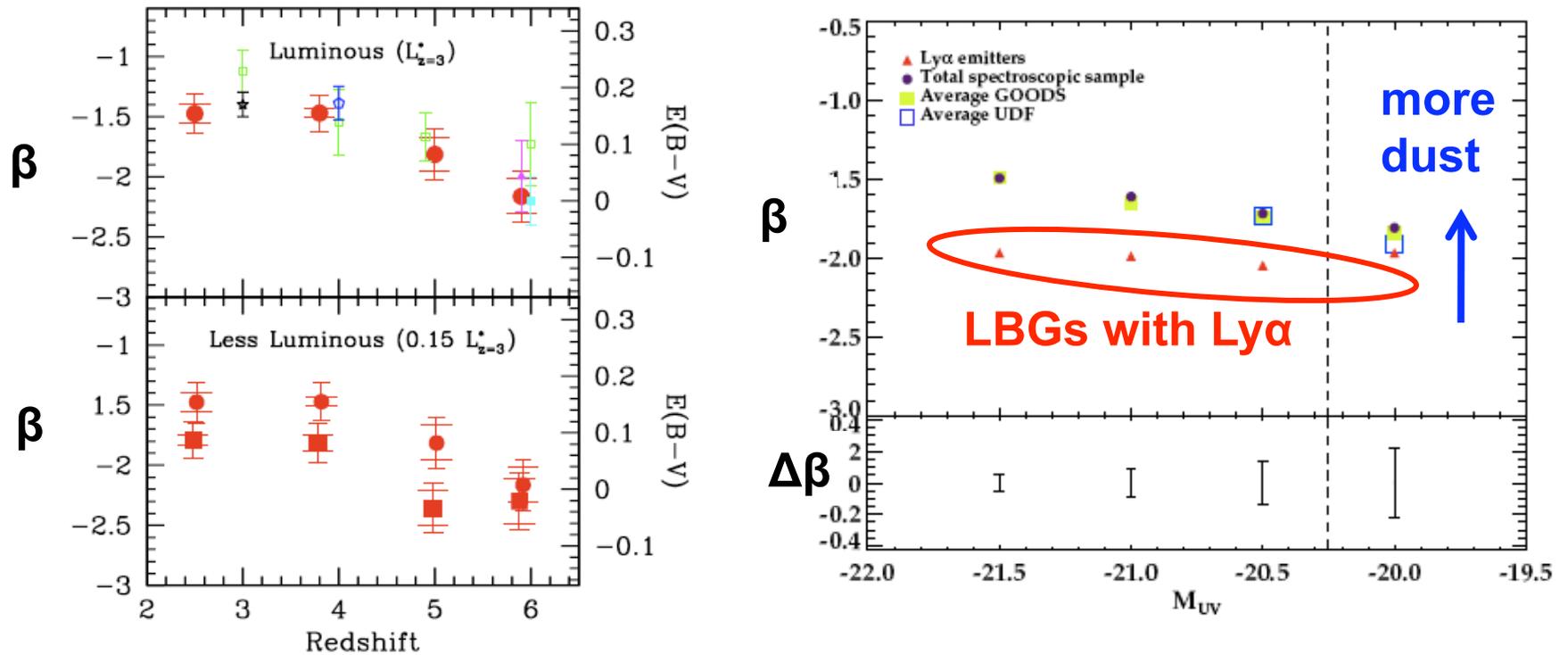


**m=26.3, z=5.45**



Survey demonstrates Ly $\alpha$  line is more prominent in *feeble* galaxies free from dust, and at *earlier times*; therefore a valuable tracer of the Dark Ages

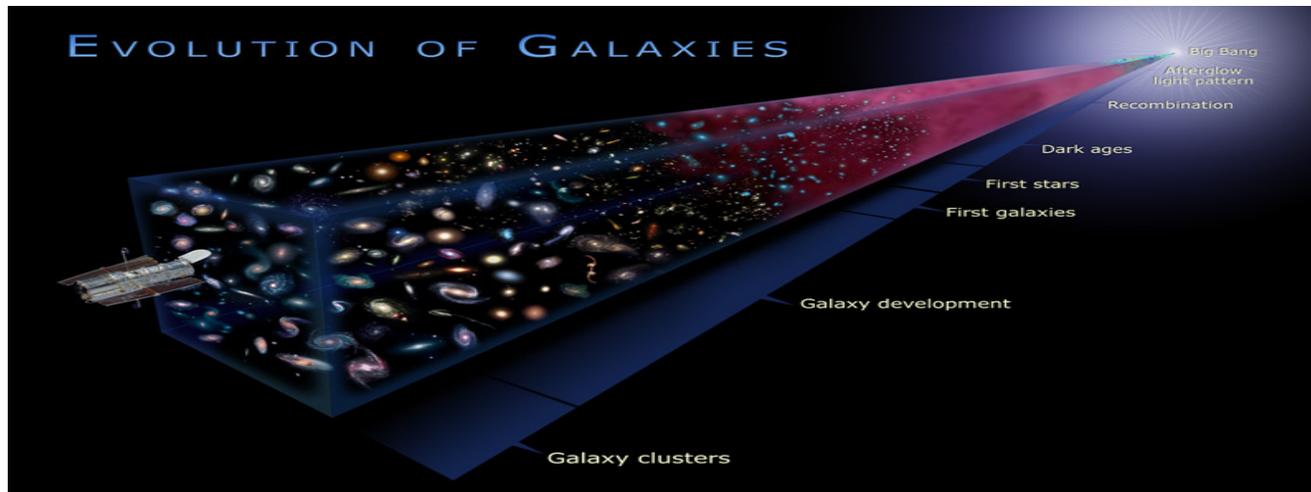
# Reduced Dust Extinction in High z Galaxies



- High redshift and low luminosity galaxies are less dusty: as inferred from UV continuum slope  $\beta$  (flux  $\sim \lambda^\beta$ )
- UV slope  $\beta$  also correlates with presence of Ly $\alpha$  in LBG spectra

Bouwens et al (2009); Stark et al (2010)

# Summary ( $z < 7$ )



- Microwave background polarization from WMAP satellite demonstrates cosmic dawn was extended in time over  $6 < z < 15$
- Assembled stellar mass from Spitzer at  $z \sim 5$  indicative of much earlier SF
- Drop in Lyman  $\alpha$  fraction over  $5.7 < z < 7$  may indicate increase in neutral gas

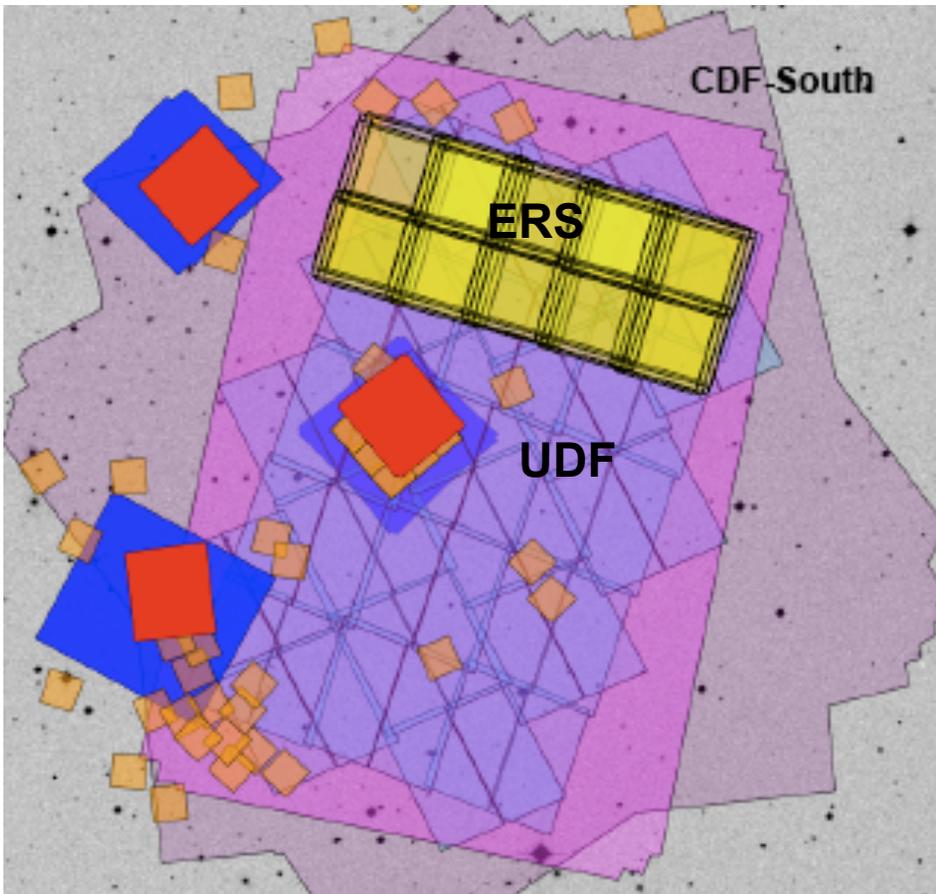
Expect abundant population of star forming sources  $z > 7$

Use  $z > 7$  sources to extend/confirm the Lyman  $\alpha$  'visibility test'

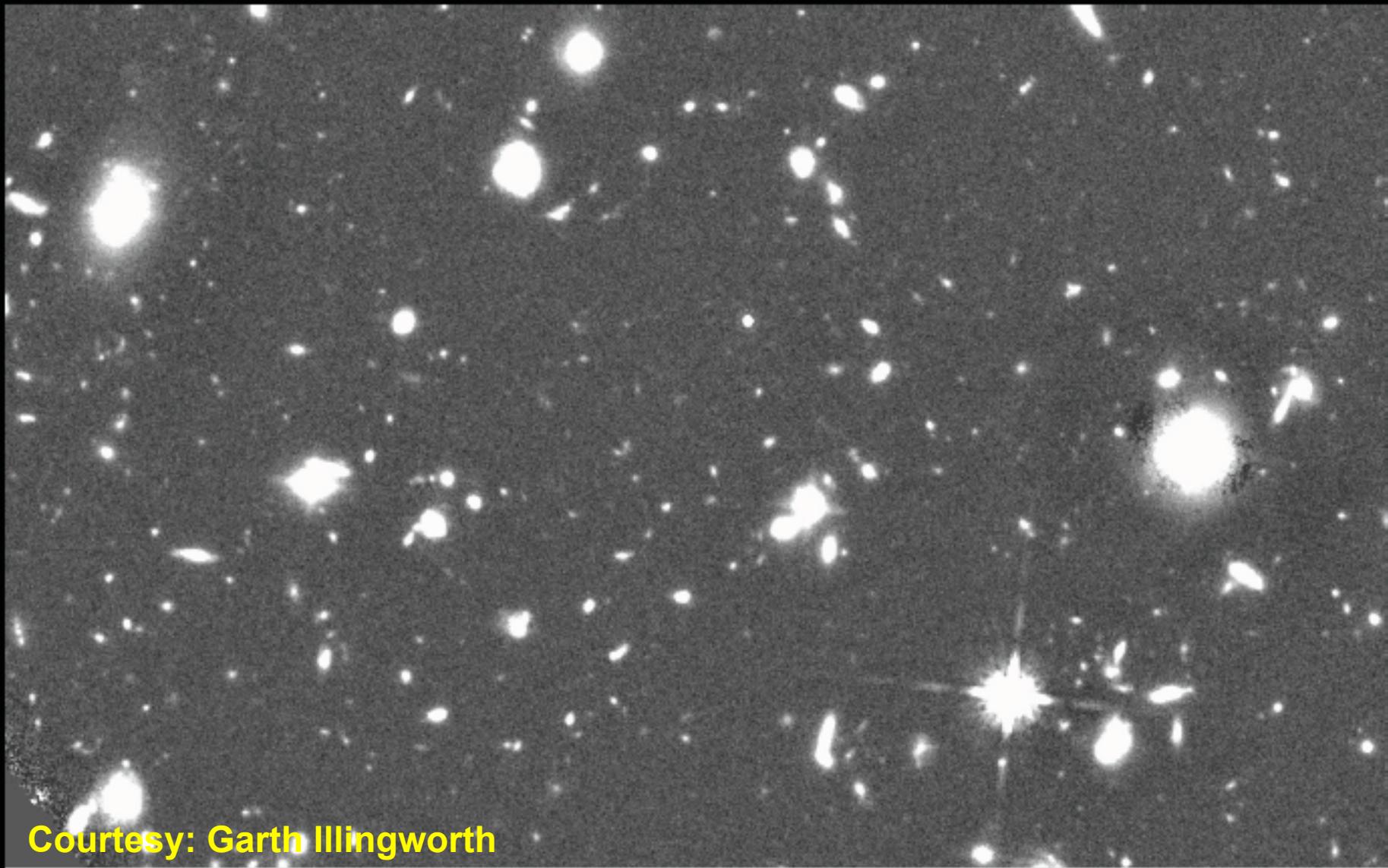


## Wide Field Camera 3

WFC3/IR: 850 - 1170nm  
2.1 × 2.3 arcmin field of view  
0.13 arcsec pixel<sup>-1</sup>

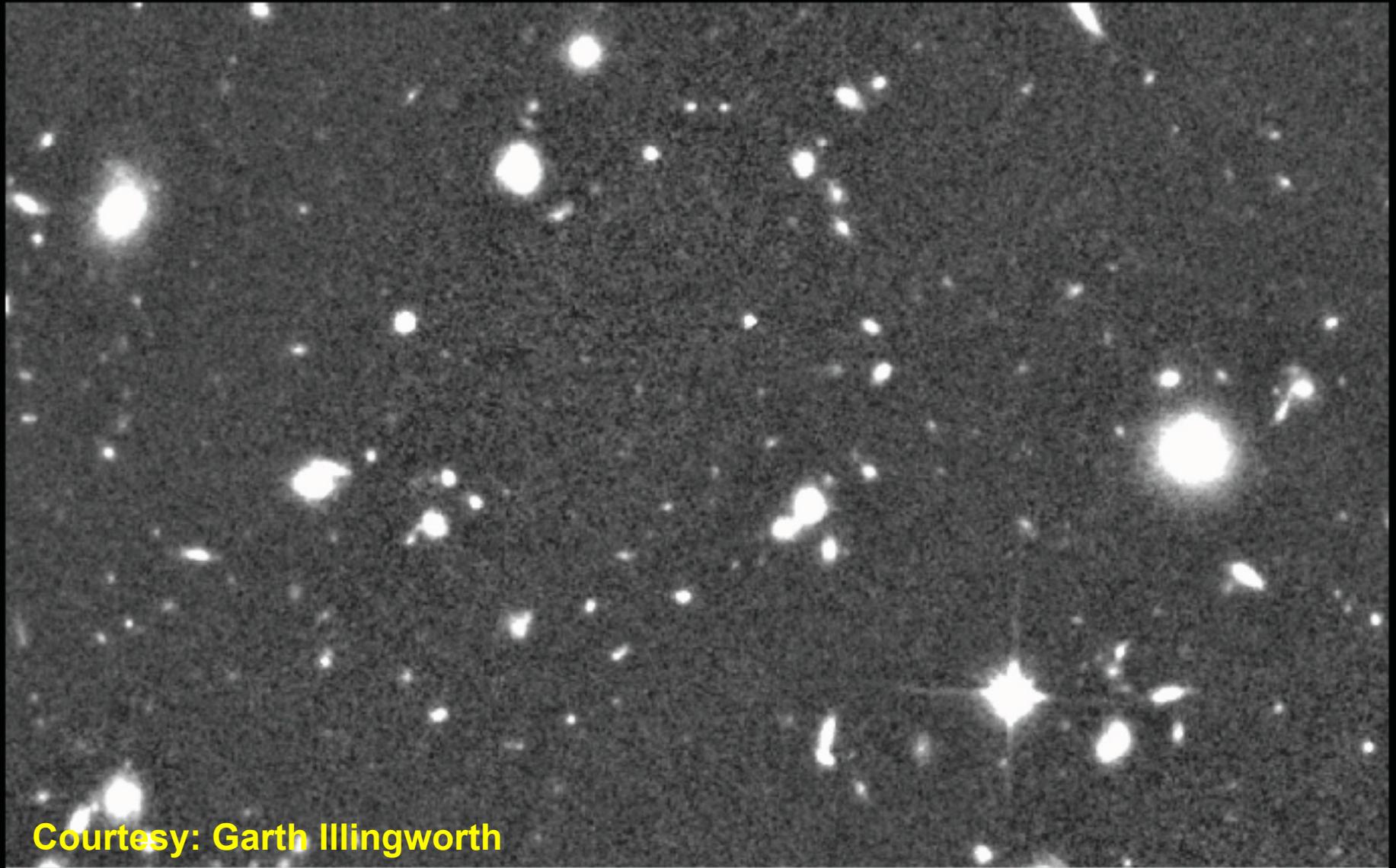


WFC3/IR – 16 orbits



Courtesy: Garth Illingworth

NICMOS – 72 orbits



Courtesy: Garth Illingworth



UDF 4.7 arcmin<sup>2</sup>  
60 orbits in YJH  
Reaches  $m_{AB} \sim 29$  ( $5\sigma$ )

**Hubble Ultra Deep Field • Infrared**  
*Hubble Space Telescope • WFC3/IR*

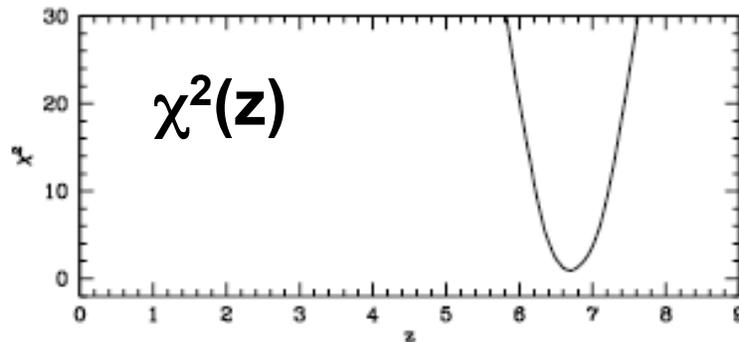
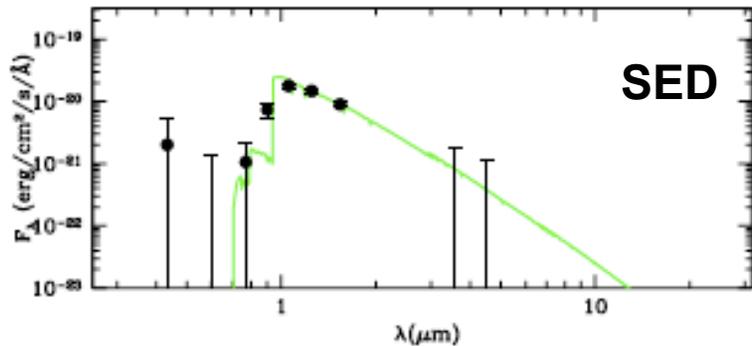
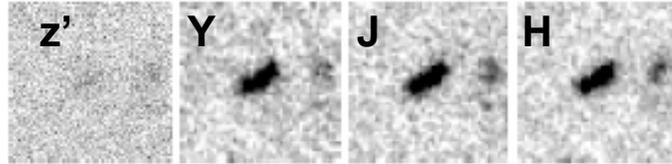
# Astronomers Love the New Hubble Camera!



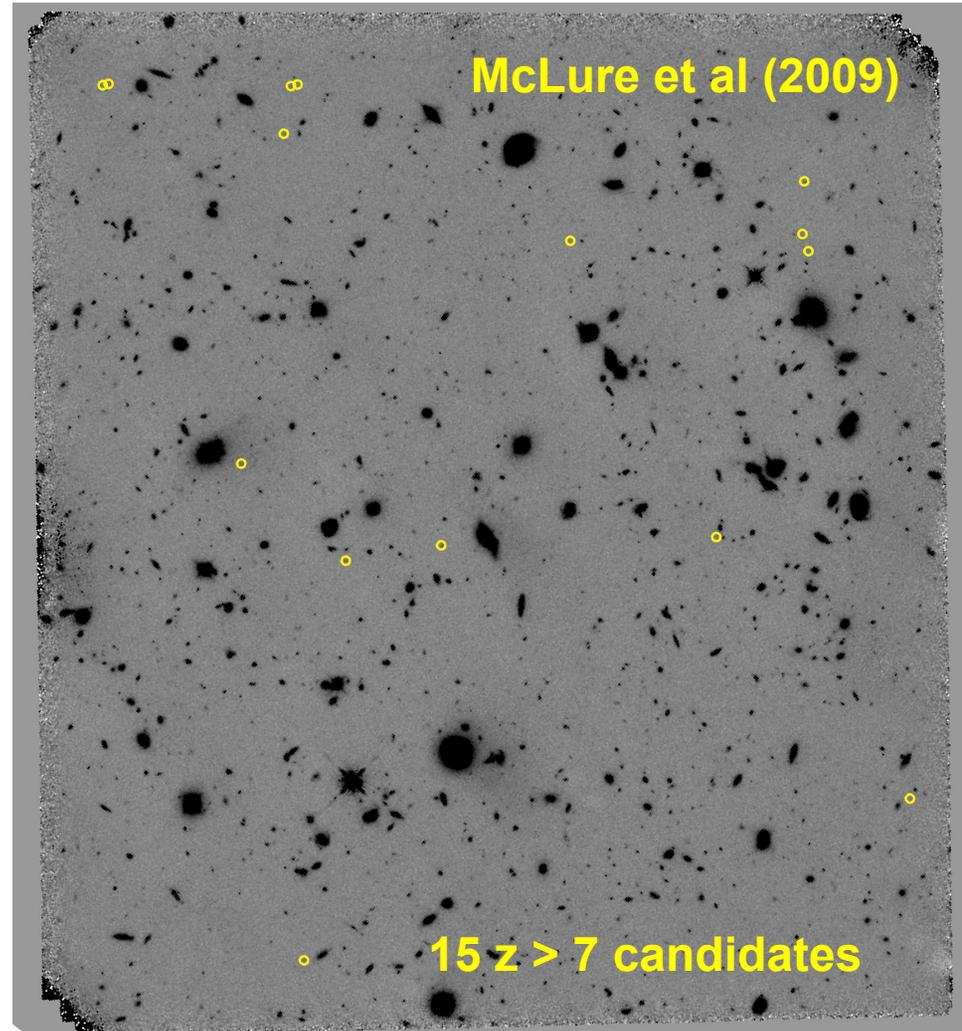
Upon release of public data from UDF and GOODS-ERS field, 12 scientific articles in 4 months from 4 international teams – 4 of these papers within 10 days!

Bouwens et al 0909.1803  
Oesch et al 0909.1806  
**Bunker et al 0909.2255**  
**McLure et al 0909.2437**  
Bouwens et al 0910.0001  
Yan et al 0910.0077  
Labbé et al 0910.0838  
**Wilkins et al 0910.1098**  
Labbé et al 0911.1365  
Finkelstein et al 0912.1338  
Bunker & Wilkins 0912.1351  
Wilkins et al 1002.4866

# $z > 7$ candidates from WFC3 UDF campaign

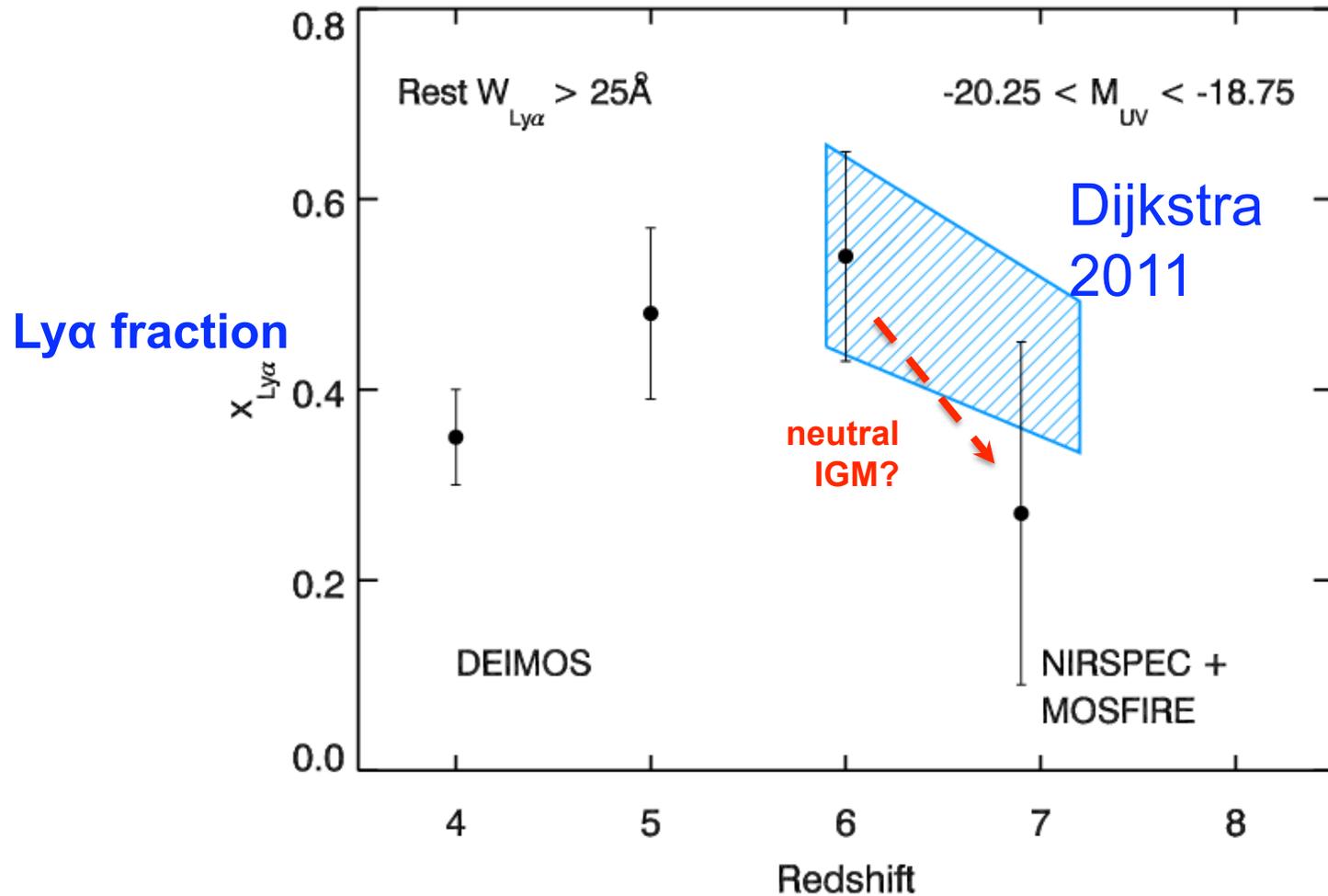


688:  $z_{\text{best}} = 6.70 (6.50 - 6.90)$



**3 infrared filters (c.f. 2 with earlier camera) leads to more secure distance estimates and reliable UV continuum slopes**

# Lyman $\alpha$ as a Tracer of Reionization: The Latest



Faint near-IR spectra required to extend reionization test over all luminosities

Keck limits (cgs): MOSFIRE (3hr,  $5\sigma$ )  $3 \times 10^{-18}$

Schenker et al (2011)

# Did Galaxies Reionize the Universe?

Are the abundances and properties of star forming galaxies over  $7 < z < 10$  sufficient to account for cosmic reionization?

There are only 3 basic requirements:

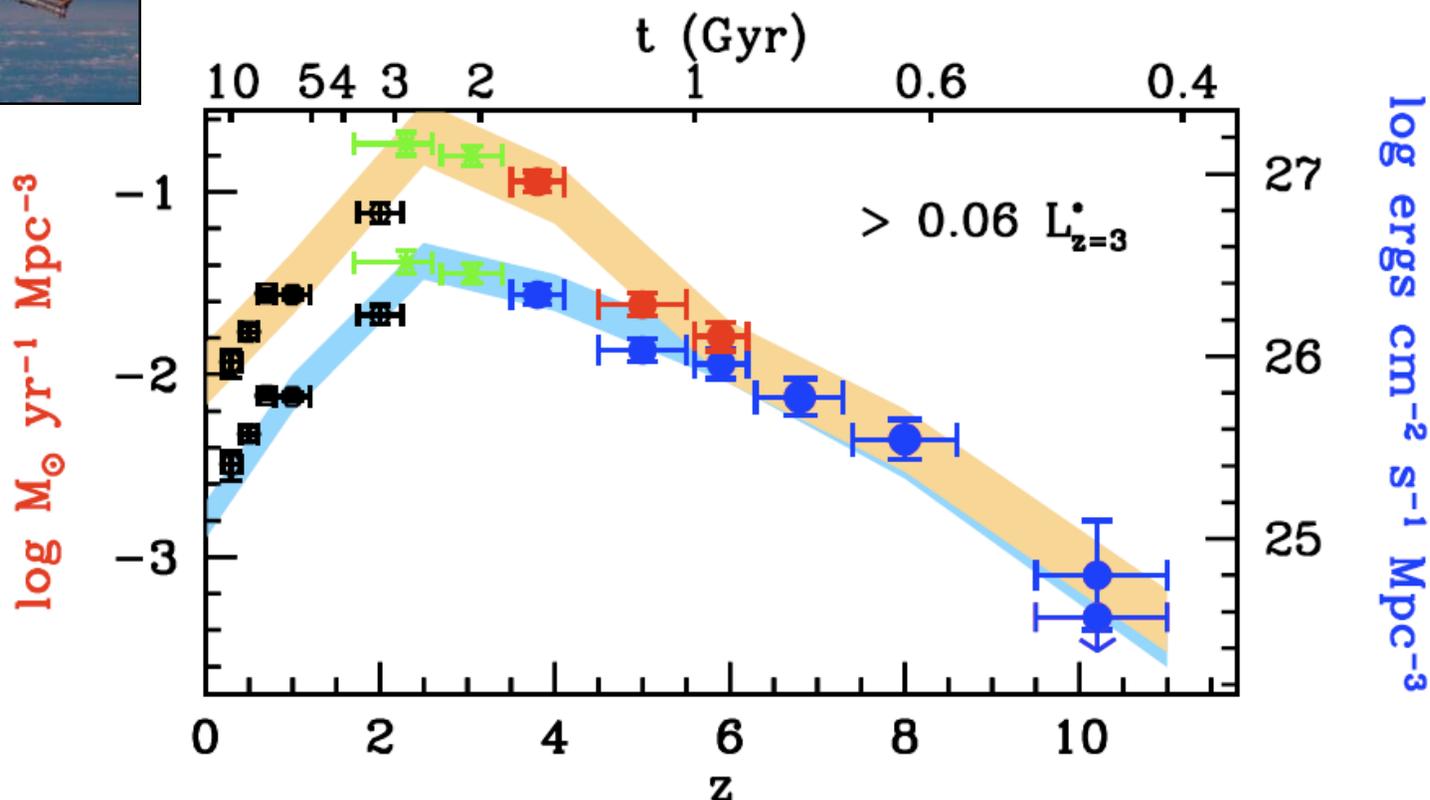
- A sustained output from star-forming galaxies over  $7 < z < 10$  (continuity in trends over  $\Delta t \sim 300$  Myr)
- A steep faint end slope ensuring high fraction of UV photons arises from abundant sub-luminous sources ( $\alpha < -1.8$ ), i.e.  $\rho_{\text{SFR}}$
- A high escape fraction of ionizing photons ( $f_{\text{esc}} > 0.2$ ) via improved understanding of UV slope  $\beta$

Prospects for resolving ambiguities in next 2-3 years is promising via

- current UDF campaign (Illingworth 105W, 125W, 160W)
- shallower GOODS MCT campaign (Faber/Ferguson )
- proposed deeper targeted UDF campaign (105W, 140W, 160W)



## Sustained Population: LBGs $6 < z < 8+$

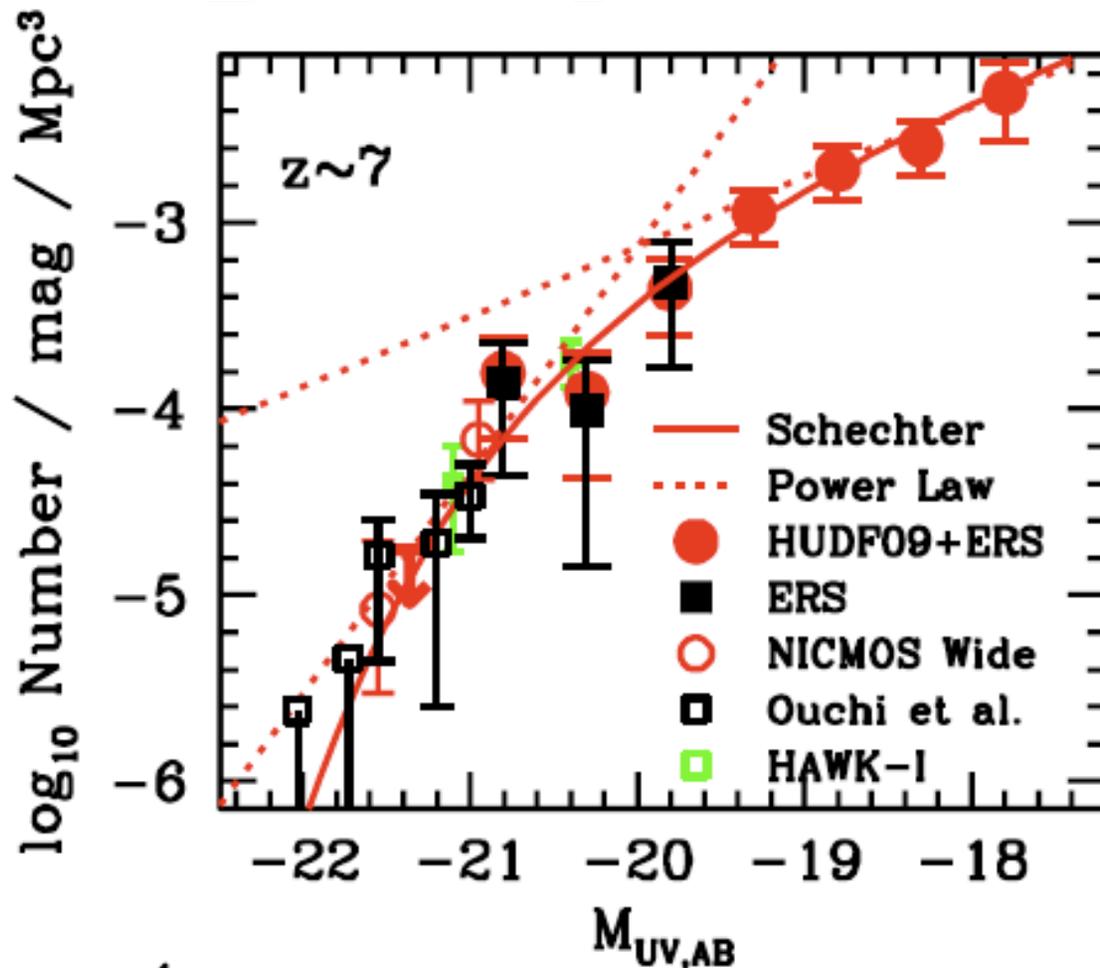


HUDF + parallel fields (AB $\sim$ 29, 4 arcmin $^2$ ) + ERS area (AB $\sim$ 27.5, 40 arcmin $^2$ )

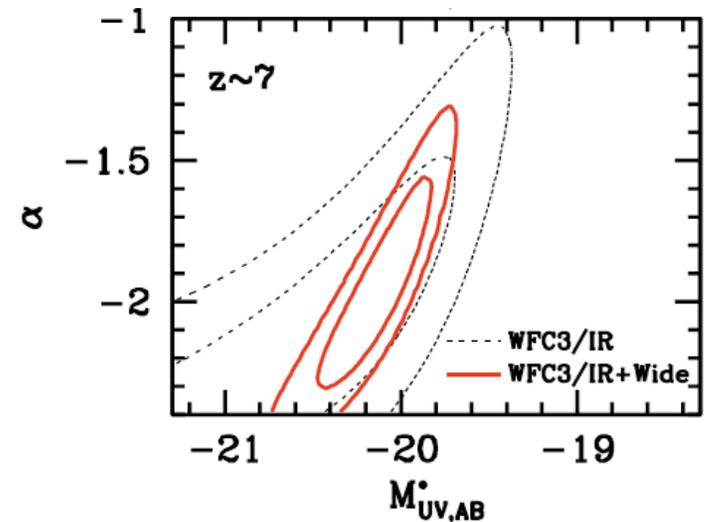
Bouwens et al astro-ph/1006.4360: 66  $z \sim 7$  and 47  $z \sim 8$  candidates

McLure et al astro-ph/1102.4881: 70  $6 < z < 8.7$  candidates with more rigorous photo- $z$  criteria

# LF@ z~7: Large Contribution from Faint LBGs



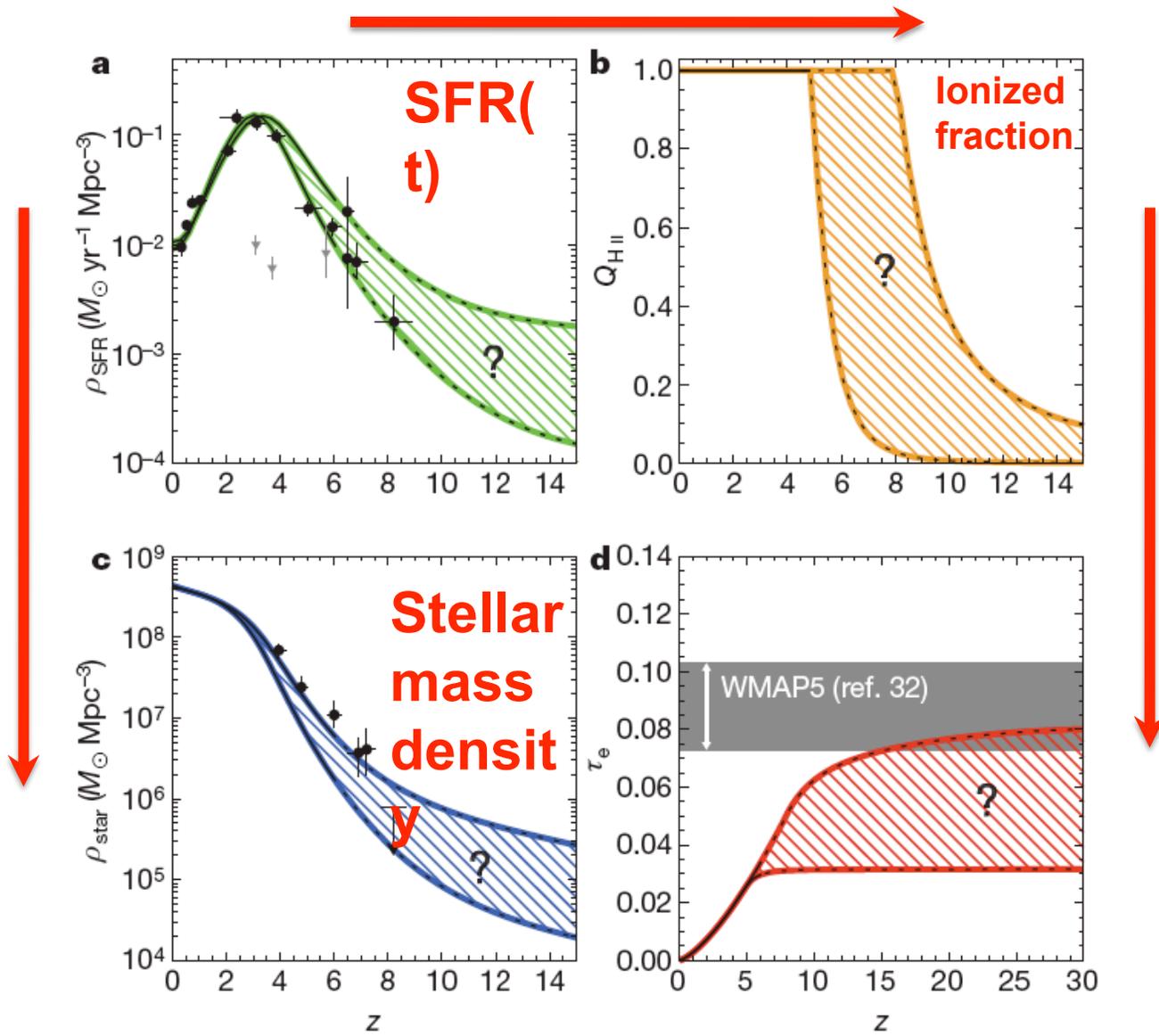
Utilizing deep + shallow WFC3/IR plus Subaru



Steep faint end slope: low star formers  $\sim 1 M_{\odot} \text{ yr}^{-1}$  dominant

Ouchi et al 2009 Ap J 706, 1136; Bouwens et al astro-ph/1006.4360 plus many earlier papers (Oesch, Bunker, McLure...)

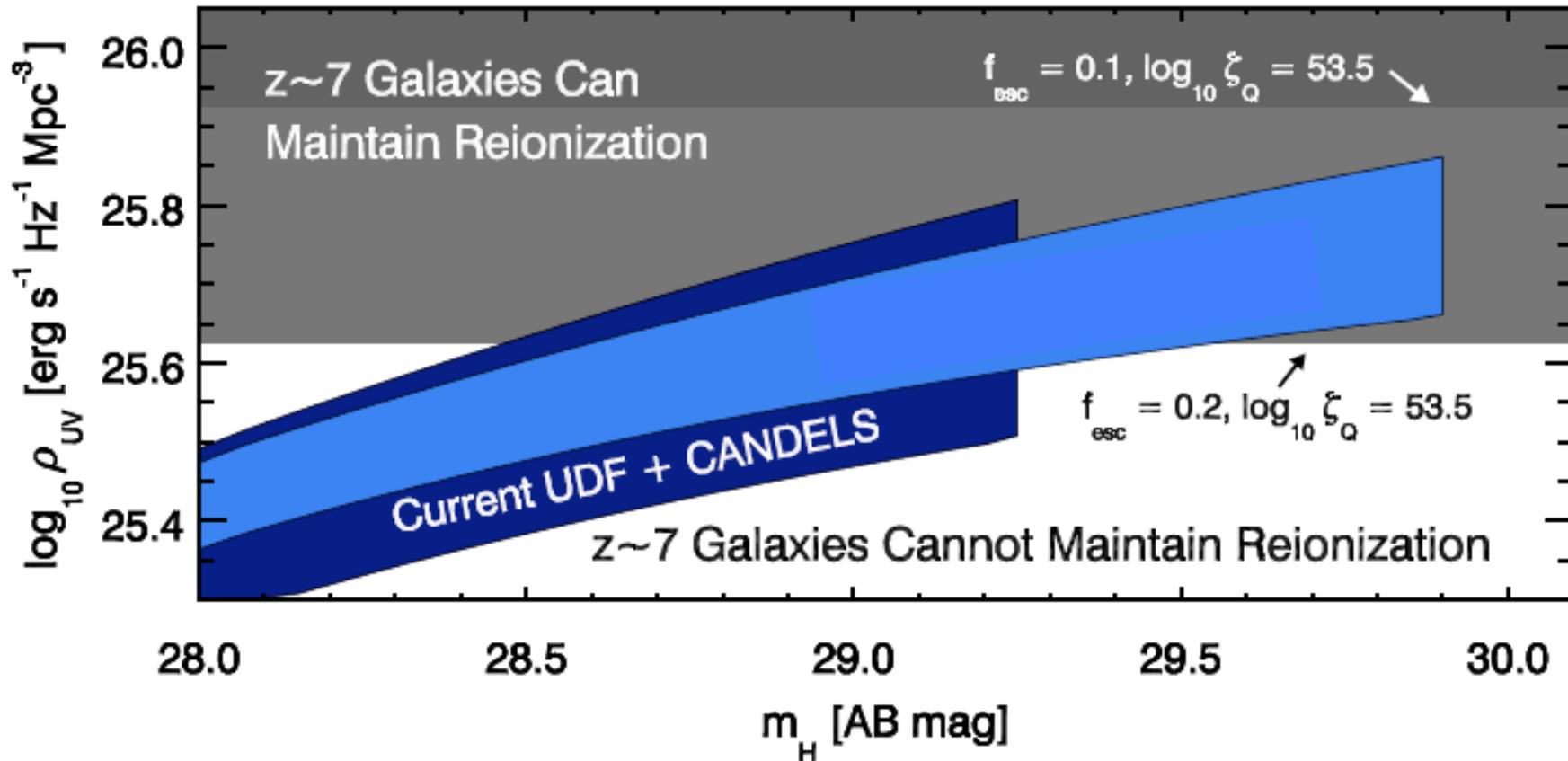
# Did Galaxies Reionize Universe?



**Robertson et al (2010) : some tensions even given the significant uncertainties**

# Projected Situation pre-TMT/JWST

Emission rate of ionizing photons  $\text{Mpc}^{-3}$  compared to abundance of star-forming galaxies



Two astrophysical variables: escape fraction of ionizing photons  $f_{\text{esc}}$   
ionizing photons per unit star formation rate  $\zeta_Q$

Robertson et al (2010)

# Where Next?



In addition to continued imaging with WFC3 on Hubble Space Telescope...

Improved performance from our existing telescopes will extend present work

- Keck MoSFIRE: - multi-object infrared spectra for  $z > 7$  sources

2017+: James Webb Space Telescope and a 30m ground-based telescope (TMT)

- a new partnership, similar to the successful one between Hubble and Keck
- more detailed surveys beyond  $z \sim 10$  and fainter sources  $z \sim 7-10$

# MOSFIRE (Keck I) – May 2011



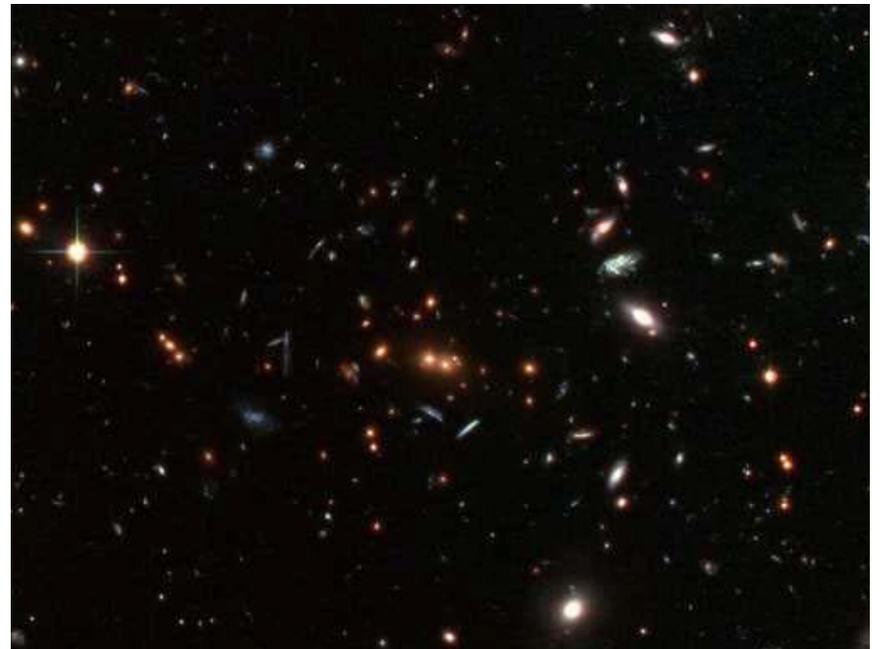
Cryogenic Multi-slit IR spectrograph

6.1 x 3.1 arcmin spectroscopic field

$\lambda\lambda 0.97 - 2.45$  microns

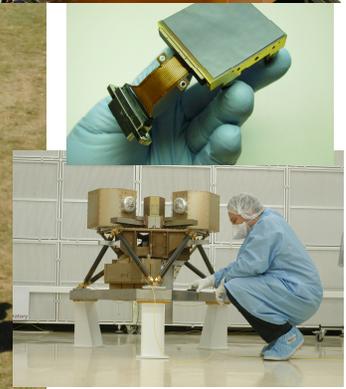
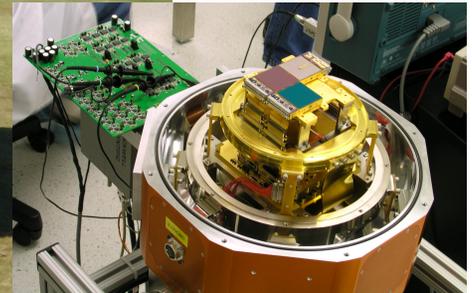
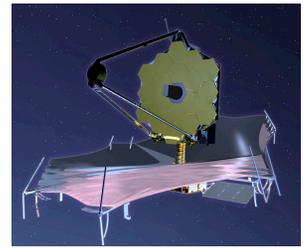
45 slits via configurable slit unit  
( $< 5$ mins)

Ideal for measuring Lyman  $\alpha$  in  
Hubble sources



# James Webb Space Telescope: 2017

...





# TMT

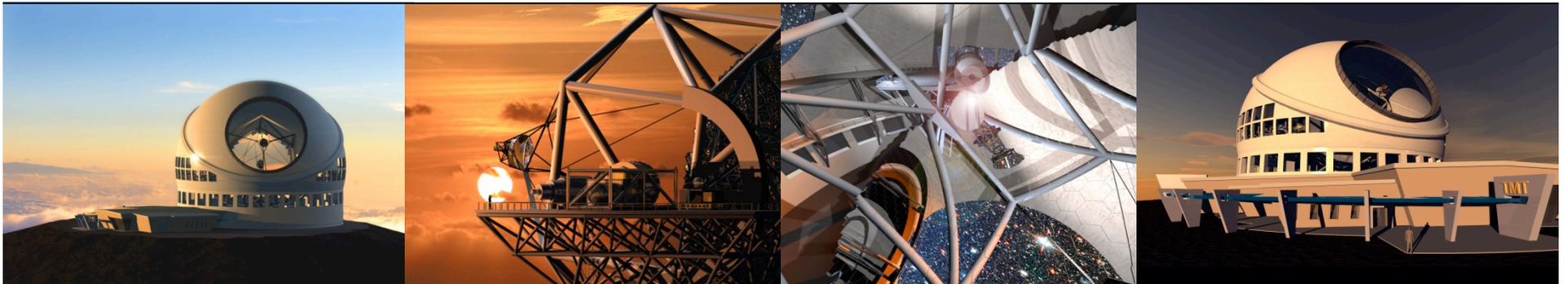
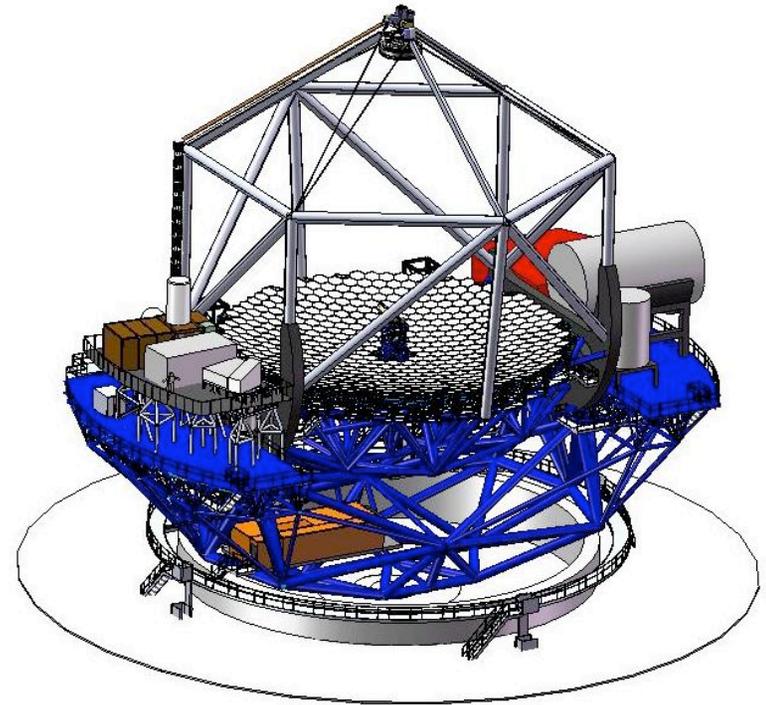
THIRTY METER TELESCOPE



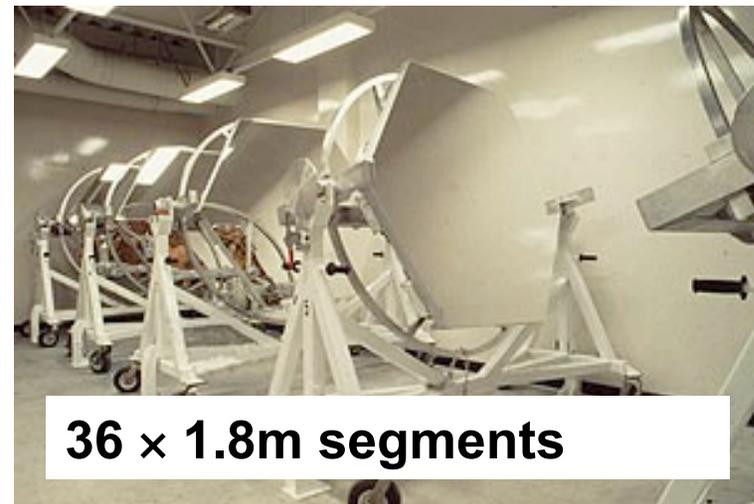
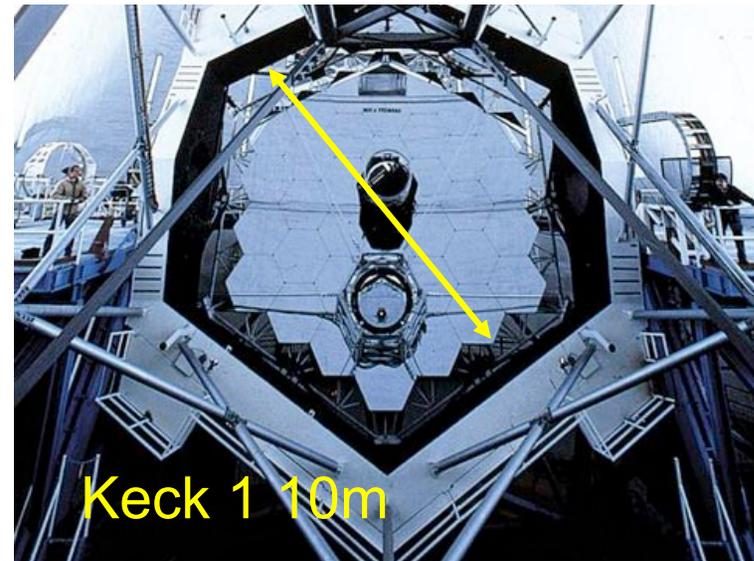
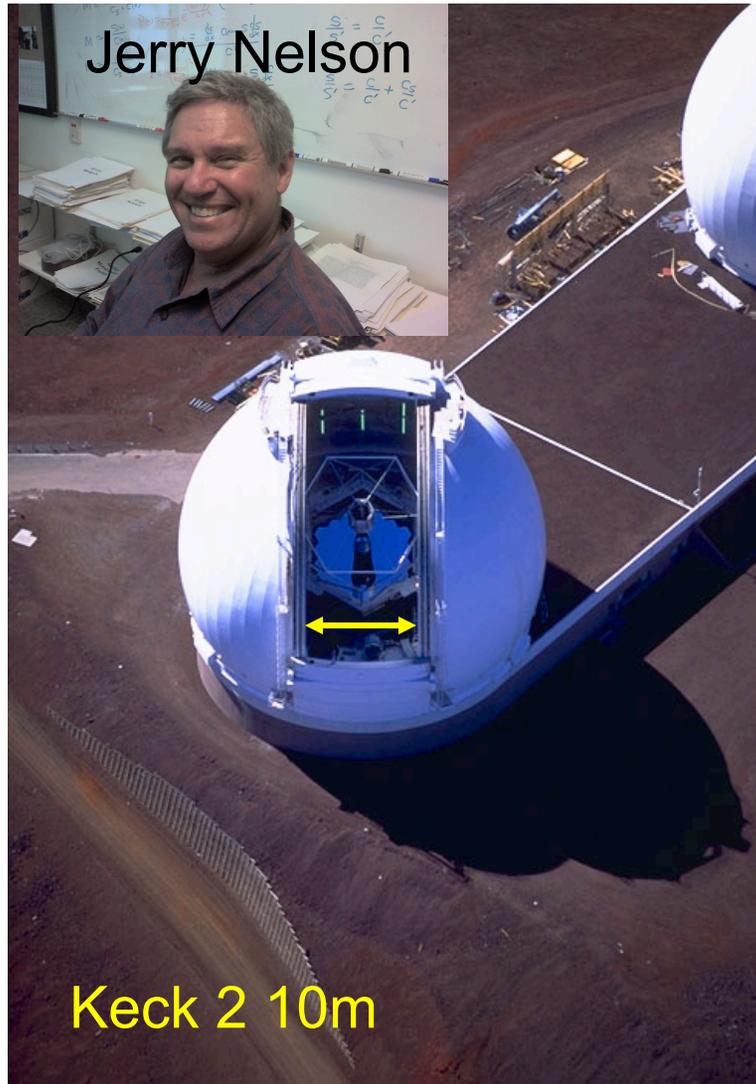
Partners are: Caltech, U. California, Canada, *Japan, China & India*

# TMT: Fast Facts

30 meter, filled aperture, 492-segment  
primary mirror  
Three-mirror telescope  
f/1 primary  
Field of view 20 arcminute  
Wavelength 0.31 – 28  $\mu\text{m}$   
**Fully integrated adaptive optics**  
Seeing-limited mode  
Partners: Caltech, UC, Canada  
*Japan, China, India*



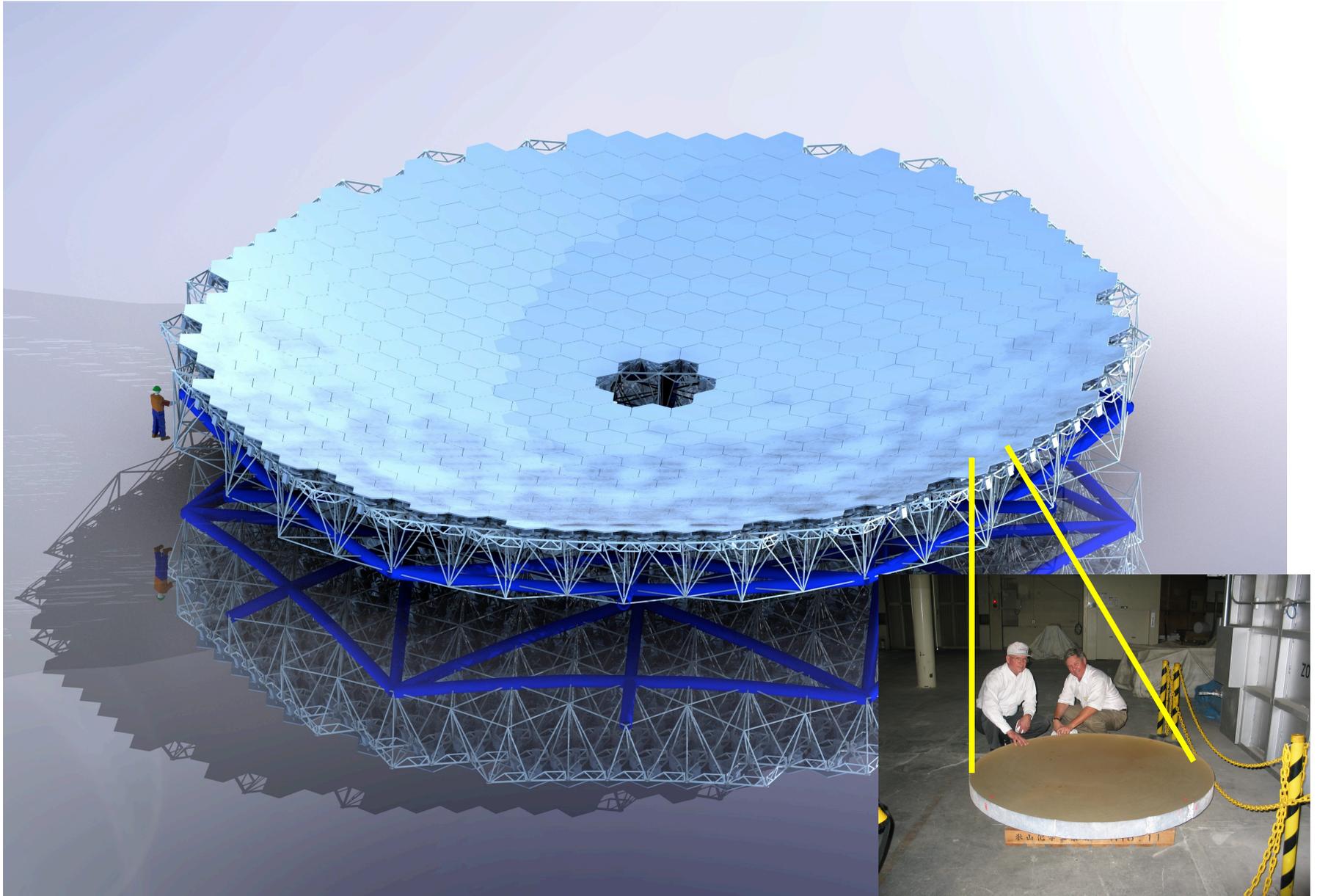
# Segmented Primaries – Keck Shows the Way



Enables larger, actively-controlled 30m class primaries



# Primary Mirror: 492 × 1.4m segments

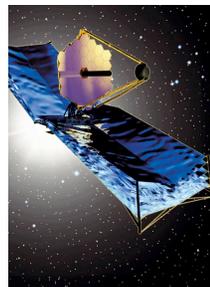
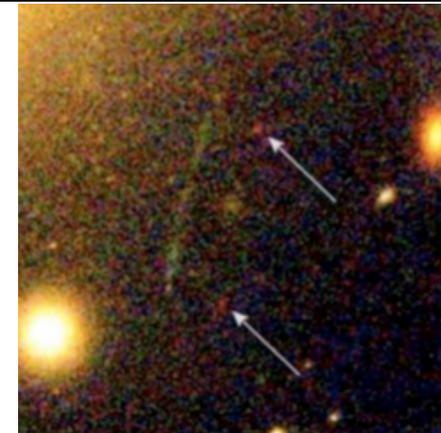


# Adaptive Optics Changes Ground-Space Synergy

- TMT with AO will have better resolution than JWST (*not a dream: Keck AO has better resolution than HST*)
- together with large aperture significantly changes space-ground synergy

First galaxies:

- TMT is key to locating more abundant, fainter, smaller sources (AO gives  $\times 10$ -100 gain over JWST depending on angular size).
- JWST probes to higher  $z$  in mid-IR



**Lensed galaxies at  $z \sim 6$**   
**Unlensed sizes  $\sim 150$ pc or  $< 30$ mas!**

# Conclusions: Is it Worth it?



"At the last dim horizon, we search among ghostly errors of observations for landmarks that are scarcely more substantial. *The search will continue. The urge is older than history. It is not satisfied and it will not be oppressed.*"

Edwin Hubble (Realm of the Nebulae)